

AK MMIS CAP Execution

CAP Program Status Report

Reporting Period: 14-March-2015 – 20-March-2015

Report Date: 27-March-2015



AK CAP Program Status Dashboard

Reporting Period: 14-Mar-2015 to 20-Mar-2015

Report Date: 27-March-2015

Overall CAP Status	At Risk
<ul style="list-style-type: none"> The CAP continues to be executed largely in accordance to the baselined work plans. At this time, the vast majority of activities were completed/delivered within the CAP time period. <u>At risk items are outlined below.</u> Please refer to the work stream slides for more details: <ul style="list-style-type: none"> Defects – 0 defects at risk. All CAP defects have been addressed. Defect closure plan sent to State on 3/6/2015 outlining plan to close remaining (non CAP) defects. CRs - 4 CRs at risk. All 4 planned for March release. Deferred DDI Deliverables – 3 deferred deliverables at risk. (Group 2 Queries, Group 4 Queries, and Final Finance Manual) Operational Backlog – 1 CAP item is outstanding; State approval of the updated Mass Adjustment Plan is pending. Operational Reports – 1 Report at risk (MR-O-14). Iterative development of the MR-O-14 report continues to address recent (2/4/2015) requested State changes. 	

Overall CAP Accomplishments
<ul style="list-style-type: none"> CRs / Defects (<i>Note: Some reported defects are actually CRs, but Xerox is administratively addressing as defects.</i>) Open CAP In-Scope Defects reduced from 344 to 0 (335 defects closed, 9 have been de-scoped) <ul style="list-style-type: none"> 9 defects were agreed to be de-scoped from the CAP in the 2/23 Joint CCB meeting Open CAP In-Scope defects reduced from 335 to 0 (Total defects Closed 335) Open CAP In-Scope CRs reduced from 91 to 4 (78 CRs implemented, 9 have been de-scoped) <ul style="list-style-type: none"> 4 remaining CRs planned for release in March 2015 Note: 22 Post CAP CRs completed and released/closed/cancelled <u>early</u>. Deferred DDI Deliverables <ul style="list-style-type: none"> All 14 deliverables with the exception of 3 have been delivered to the State. State review of submitted deliverables in process. Operations Backlog <ul style="list-style-type: none"> Suspended Claims: Exit criteria has been met. Suspended claims below CAP exit criteria. Provider Appeals: Exit criteria has been met. Eliminated provider claims backlog. Claims Mass Adjustment: Mass adjustments are being executed in accordance with the plan. Requested State updates to the MA Plan were made and provided to the State on 2/23/2015. Xerox is awaiting State review and approval of the plan. Operations Reporting <ul style="list-style-type: none"> All 15 in-scope reports - with exception of MR-O-14 - have been developed and delivered to the State. State review of delivered reports is in process. MR-O-14 report was not delivered on 2/25/2015 as planned per the State's request. The MR-O-14 report remains an on-going iterative development effort, as the State has decided to defer review of this report until all recent requested changes (latest changes requested by State on 2/4/2015) have been applied.

Summary of Key Milestones / Deliverables				
Milestone / Deliverable	Planned Finish Date	Projected Finish Date	Milestone Status	Comments
CAP In-scope Defects	13-Feb-2015	28-Feb-2015	Complete	CAP defects have been addressed.
CAP In-scope CRs	28-Feb-2015	28-March-2015	At Risk	All 91 CAP CRs have been addressed with exception of 4 CRs jointly discussed and planned for March implementation.
Deferred DDI deliverables	30-Jan-2015	19-June-2015	At Risk	All 14 deliverables with the exception of 3 have been delivered to the State. State review in process.
Process Hardening	30-Jan-2015	27-Feb-2015	Complete	CAP processes were implemented.
Operational Backlog	28-Feb-2015	27-March-2015	At Risk	CAP backlogs have been addressed. Xerox sent the State a request for final review/approval of State requested updates to the Mass Adjustment Plan on 2/23/2015.
Reporting	27-Feb-2015	30-April-2015	At Risk	All 15 in-scope reports - with exception of MR-O-14 - have been developed and delivered to the State. Recent State requested changes to the MR-O-14 has contributed to delay. State review of delivered reports is in process by Joint State/Xerox team.

Legend

Blue - Complete

Green - CAP execution on track

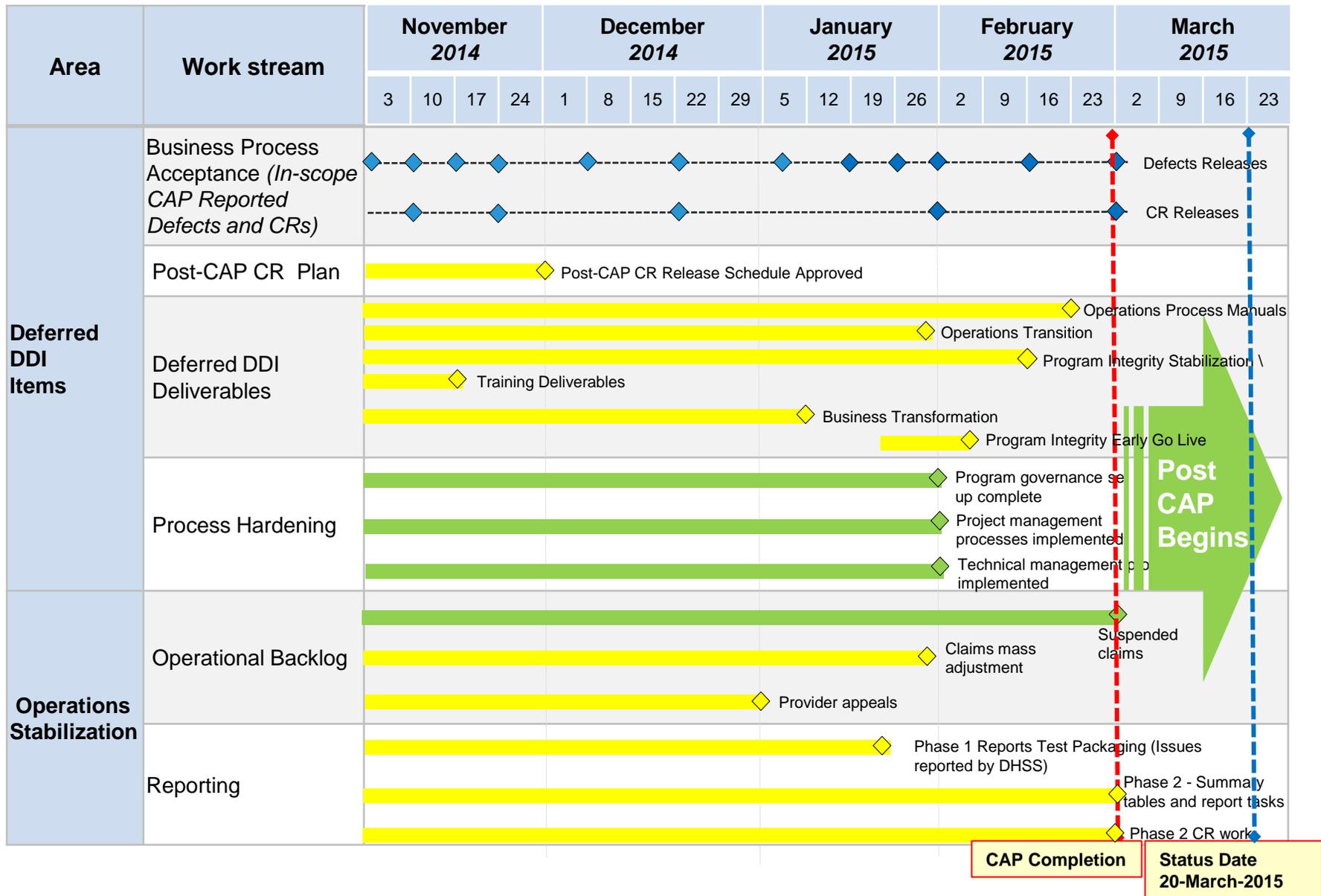
Yellow - CAP execution at risk

Red - CAP delayed past CAP end date

AK CAP Program Milestone View

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AK CAP Scope & Work Plan Change Requests

Reporting Period: 14-Mar-2015 to 20-Mar-2015

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AK CAP Scope & Work Plan Change Request Summary (Management Intervention Required)

CAP Change ID #	Change Title	Change description	Date Decision Needed	Assigned To	Change Status	Change Decision
1	Additional Data Load (Reporting)	The State has requested an additional data load that will impact the delivery dates of some reports. The reporting team is assessing the schedule impacts of this request.	21-Nov-2014	David Meadows Jake Oner	Complete	Approved on 11/14/2014.
2	CR Scope Change	Current CAP CR scope is 85 identified CRs. Management has directed the team to work on an additional 22 CRs during the CAP time period. Approval needed if these 22 CRs should be added to the CAP scope. NOTE: <i>CRs to be worked under the existing 600 hour per month operational contract should NOT be included on this list, as they are being worked as part of on-going operations.</i>	17-Nov-2014	Dhivakar Jeganathan	Complete	Dhivakar advised that 6 CRs in the Cap-Concurrent list, need to be part of CAP and should be added to the CAP. Criteria to add to CAP per David Meadows: Add new CRs to CAP if the CR must be implemented in order to enable the successful implementation of any of the 85 CAP CRs.
3	Retrospective Claim Adjustments – Additional Tasks to Track Progress	Additional tasks have been created to better track the progress of adjustment execution, and to lay out the overall plan for adjustments, including additional tasks for State requested reviews of the adjustments. Request sent to State on 2/23/2015.	27-Feb-2015	Linda Walsh Cindy Christensen	Awaiting State Review & Approval	Need feedback or approval from State on request to baseline these new tasks so we can better track interim progress on adjustment execution. In the interim, the existing adjustment execution tasks have been updated to reflect planned completion dates.
4	De-Scope CRs, Defects and Reports from the CAP	9 Defects, 9 CRs and 2 reports were requested to be de-scoped from the CAP. <i>(The work stream slides in this deck identify the specific items.)</i>	23-Feb 2015	Margaret Brodie Nick Faulkner Lori Campbell Joint CCB	Complete	Per Margaret Brodie, the Joint CCB was empowered to make the de-scoping decision given overall priorities. At the 2/23/2015 Joint CCB meeting, the State agreed to de-scope these 9 defects, 9 CRs and 2 reports from the CAP.

AK MMIS CAP Appendix

CAP Program Status Report

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CAP Scope and Acceptance Criteria

(As stated on Pages 27 and 28 of the CAP)

ID	Workstream Name	Scope Description	CAP Exit / Acceptance Criteria
1	Business Process Acceptance	Resolution of deficiencies(identified by defects and CRs) by key business process area	<ul style="list-style-type: none"> All mutually agreed upon CAP Defects (Appendix G) logged as of October 1, 2014 will be released to production by CAP end date. <i>(Note: 1 of the 345 defects was a duplicate.)</i> Defects identified after October1, 2014 will be reviewed by the CCB regarding business process impact and the assigned severity and priority will be validated. If a business process turns “red” on the heat map due to identification of new defects, it will be brought back to “white” or “yellow” state within 60 days of defect identification. For defects identified after December 14, 2014, the actual implementation of the defect fix may or may not be addressed during the CAP period but will not be considered part of the CAP acceptance criteria. Release to production of CRs in scope (appendix D) by CAP end date.
2	Post –CAP CR Plan	Develop a Post-CAP CR Plan to be executed for deferred DDI CRs tied to original RFP requirements. See Appendix F for list of CRs in scope for the Post-CAP CR Plan.	<ul style="list-style-type: none"> Post-CAP CR Plan is delivered by Xerox and approved by the State.
3	Deferred DDI Deliverables Workstream	<p>DDI deliverables (identified in the CAP schedule in Appendix B) that were deferred to post-go-live, and that are tied to in-scope CAP CRs.</p> <p>All items determined to be in scope unless deemed unnecessary by the State (e.g., obsolete, to be further deferred, etc.).</p>	<ul style="list-style-type: none"> The State approval of all remaining deferred DDI deliverables identified in the CAP work plan.
4	Operational Backlog Reduction	<p>Meet Operational backlog objectives for:</p> <ul style="list-style-type: none"> Suspended claims backlog Appeals in backlog Retrospective claim adjustments 	<ul style="list-style-type: none"> Suspended claims: Workable claims inventory in suspense less than or equal to 60,000 lines or 25,000 documents Appeals in backlog: 95% of first-level appeals should be appropriately completed in 10 days or less. Appeals must be processed correctly with appropriate outcomes rather than “closed” or bulk-denied. If closed or denied, they will rise to the 2nd level which is the state’s responsibility. Retrospective claim adjustments: Plan to address retrospective claim adjustments completed and approved by the State. <i>(Note: At this time, the CAP plan includes processing adjustments due to defects/CRs released up through Dec 31, 2014. Additional adjustments due to defects/CRs released from Jan 1, 2015 forward will be handled post CAP as part of ongoing operations.)</i>
5	Reporting	Deliver reporting system functionality	<ul style="list-style-type: none"> Reports identified in the CAP schedule are delivered and approved by the State.

Workstream: CAP In-Scope Defects – Detail Status

Reporting Period: 14-Mar-2015 to 20-Mar-2015

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Overall Workstream Status

Complete

- Overall the CAP In-scope defects workstream is complete after the rescoping of 9 defects from the CAP timeline. These defects will be tracked outside the CAP timeline and will be addressed per the Target release date agreed by Xerox and State after CCB discussion

Key Workstream Accomplishments

As of R(03/21)

- 9 defects were agreed to be de-scoped from the CAP in the 2/23 Joint CCB meeting
- Open CAP In-Scope defects reduced from 335 to 0 (Total defects Closed 335)

Note: Defect Status Descriptions:

- Resolved: *Development team completed development and unit test; Ready for QA testing*
- Closed: *QA has tested defect and passed*

Key Next Steps

- Continue to pursue defect closure which involves collaboration across State and Xerox partners
- Review defect closure plan for remaining defects with State. Plan was delivered to State on 3/6/2015.

Defect Release Status Summary

Release Dates	Release Phases				Release Status	Comments
	Analysis	Dev	QA	Regression		
R(12/06)	11/03-11/07	11/10-11/21	11/24-12/05	11/24-12/05	Complete	
R(12/20)	11/17-11/21	11/24-12/05	12/08-12/19	12/08-12/19	Complete	
Data Fix(2014)					Complete	
R(1/3)	12/1-12/5	12/8-12/19	12/22-1/2	12/22-1/2	Complete	
R(1/17)	12/15-12/19	12/22-1/2	1/5-1/16	1/5-1/16	Complete	
R(1/24)	N/A	1/19-1/23	1/19-1/23	N/A	Complete	
R(1/31)	12/29-1/02	1/5-1/16	1/19-1/30	1/19-1/30	Complete	
R(2/14)	1/12-1/16	1/19-1/30	2/2-2/13	2/02-2/13	Complete	
R(2/21)	N/A	2/16-2/20	2/16-2/20	N/A	Complete	
R(2/28)	1/26-1/30	2/2-2/13	2/16-2/27	2/16-2/27	Complete	
Data Fix(2015)					Complete	

Critical Issues - Management Intervention Required

Issue type (New / existing)	Issue Summary	Impact (See Excel Appendix for list of impacted defects)	Nature of Management Intervention Sought	Due Date	Issue Status
248	The following 9 defects were agreed to be de-scoped from the CAP in the 2/23 Joint CCB. ESPRD01056941 ESPRD01077291 ESPRD00940781 ESPRD01058479 ESPRD01077278 ESPRD01064852 ESPRD01092180 ESPRD01075927 ESPRD01039884 Out the above 9 Defects ESPRD01077291 has been closed.	Schedule	Get the clarifications from State and Xerox team.	On going	Resolved

Critical Risks - Management Intervention Required (Details are in Excel Appendix)

Risk type (New / existing)	Risk Description	Risk Impact (See Excel Appendix for list of impacted defects)	Risk Mitigating Action	Target Mitigation Date	Current Risk Status

CAP In-Scope Defect Burn down Summary

Release Dates	CAP In-Scope Defect Counts					Cumulative Backlog
	Baseline Planned	Current Plan	Triaged and Closed /Doc only	Released and Closed	Re-scheduled	
Past Releases	298	298	46	252		
R(12/27)	1	1	1	0		
Data Fix(2014)	24	24	0	24		
R(1/3)	0	0	0	0		
R(1/17)	1	1	0	1		
R(1/24)	0	0	-	-		
R(1/31)	1	1	1	-		
R(2/14)	0	0	-	-		
R(2/21)	1	1		1		
R(2/28)	3	3	3			
Data Fix (2015)	6	6	-	6	-	-
Future Release/CCB						
Total	335	335	51	284		
Remaining Open as of 03/9/2015	(Calculation 344-51-284)			0		

Legend

Blue - Complete

Green - CAP execution on track

Yellow - CAP execution at risk

Red - CAP delayed past CAP end date

Workstream: CAP In-Scope Defects – Detail Status(Continued)

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Business Process Heat Map Status																	
Business Process Status	Week (12/1)	Week (12/8)	Week (12/15)	Week (12/22)	Week (12/29)	Week (01/05)	Week (01/12)	Week (01/19)	Week (01/26)	Week (02/02)	Week (02/09)	Week (02/16)	Week (02/23)	Week (03/02)	Week (03/09)	Week (03/16)	Week (03/16)
White (No Severity 1 or 2)	77	80	85	93	92	94	94	94	94	99	102	106	105	110	112	113	113
Yellow (Only Severity 2)	27	26	22	19	20	18	18	18	19	13	11	7	7	3	2	1	1
Red (Severity 1)	12	10	09	4	4	4	4	4	3	4	3	3	4	3	2	2	2

Defect Summary for Red(Sev1) and Yellow(Sev2)

Defect Id	Title	Severity	Target Release	Remarks
ESPRD01075927	(Datafix) Claims fiscally pended due to no fund code	SEV1	AK.DF.15.03.x	This is pending approval from SOA. SOA is reviewing the claims. SOA had Pharmacy Fund code issues as Priority Last week and informed Xerox that they will look into the data approach Xerox had shared this week. Once State approval is received, the data fixes will be completed.
ESPRD01058479	State WO #R2014007 - Conversion defect: Incorrect values/status populated for allowed units on CT O, I with non covered rev co	SEV1	AK.DF.15.03.x	Additional documentation was requested which was sent to the State. Awaiting State feedback and approval. Once the approval is received the data fixes will be completed.
ESPRD01092180	Edit 4680 posting in Error (Data Defect)	SEV2	AK.DF.15.03.x	This is being worked by the Xerox FAS Team. A list of codes has been provided to the State which has the G6 factor code on it so the State can provide a work order to add max units. Once this is completed, the Xerox FAS team will be able to release the claims for payment.

Defects – Closure Plan for Remaining Defects

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	Severity				
Target Release	1-Critical	2-High	3-Moderate	4-Low	Total
Change Request/ Client Review Request/FAS	2	2	17		21
Core Defects to be Scheduled			7	0	7
AK.15.03.4.X		1	23		24
AK.15.04.1.X			2		2
AK.15.04.2.X			22	1	23
AK.15.04.4.X		1	13		14
AK.DF.15.03.x			03		03
AK.DF.15.04.x			02		02
AK.DF.15.05.x			2		2
Tracking			3		3
Grand Total	2	4	94	1	101

Note: Since 10/1/2014, 16 new Sev1 Defects were opened, and 16 were closed within 60 days.

Workstream: CAP In-Scope CRs – Detail Status

Reporting Period: 14-Mar-2015 to 20-Mar-2015

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Overall Workstream Status

At Risk

- **CAP CRs reduced from 91 to 4 (Total CRs Closed = 78, De-scoped = 9)**
 - 9 CRs were agreed to be de-scoped from the CAP at the 2/23 Joint CCB meeting
 - Remaining 4 (See next slide).
 - 1 CR (ESPRD01091469)
 - 1 CR (ESPRD01104077)
 - 1 CR (ESPRD01001257)
 - 1 CR (ESPRD01004112)

Critical Issues – Management Intervention Required (Details are in Excel Appendix)

Issue type (New / existing)	Issue Summary	Impact (See Excel Appendix for list of impacted CRs)	Nature of Management Intervention Sought	Due Date	Issue Status

Key Workstream Accomplishments

- R(3/28) release**
- CRs test results sent to State for approval.

Key Next Steps

- For R(3/28) release**
- Obtain State approval for 3/28 release.

Critical Risks - Management Intervention Required (Details are in Excel Appendix)

Risk type (New / existing)	Risk Description	Risk Impact (See Excel Appendix for impacted CRs)	Risk Mitigating Action	Target Mitigation Date	Current Risk Status

Current and Near-term Major release status summary

Release Dates	Release Phases					Release Status	Comments
	Analysis/DSD	Dev	QA	Reg.	UAT		
R(2/28)	12/8-1/2	1/5-1/23	1/26-2/13	2/16-2/27	2/16-2/27	Complete	
R(3/14)	Remaining 4 CRs waiting to be closed after 3/28 release upon SOA approval					On Track	

Key Decisions and Actions to Implement

- Decisions:**
- None
- Actions:**
- None

Legend

Blue - Complete

Green - CAP execution on track

Yellow - CAP execution at risk

Red - CAP delayed past CAP end date

Workstream: CAP In-Scope CRs – Detail Status (continued)

Reporting Period: 14-Mar-2015 to 20-Mar-2015

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CAP In-Scope CR Burn down Summary						
CAP In-Scope CR Counts						
Release Dates	Baseline Plan	Current Plan	Released	Re-scheduled	De-scoped	Cumulative Backlog
Prior to R(2/28)	70	71	71	-		-
R(2/28)	21	20	7	4 **	9 *	4 **
Total	91	91	78	4 **	9 *	4 **

** The following 4 CRs to be closed after 3/21 release

CR ID	Title	Recommended Release Date	Functional Area	Comment
ESPRD01091469 **	Claims being paid to podiatrist when member does not have Medicare primary	AK.DF.15.03.X	Claims Adjudication	State approval of our approach to handle with a configuration change was received on 3/4/15. Team is planning this for March 2015 release.
ESPRD01104077 **	Child CR for S13H2023 UpdateSunGard OCR for ADA Claim Changes - Dev team is SunGard OCR ONLY	AK.15.03.4.X	OCR	Moved to 3/28 release as review and approval did not meet timeline for 3/21 release.
ESPRD01001257 **	Parent - S13H2023 Update MMIS for ADA Claim Changes	AK.15.03.4.X	Claims Adjudication	Parent CR - no work required; will be closed on 3/28 after OCR child is closed.
ESPRD01004112 **	S13H2052 New Edit NH Vs Part B of A	AK.DF.15.03.X	Claims Adjudication	Configuration only. Comments have been received from the State and are being reviewed by Xerox. Team is planning this for March 2015 release.

Workstream: CAP In-Scope CRs – Detail Status (continued)

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* The following 9 CRs were de-scoped from the CAPs agreed in Joint CCB on 2/23/2015.

CR ID	Title	Recommended Release Date	Functional Area	Comment
ESPRD01056681 *	Claims Financial - AK-IN-ACT-08I-0001 - AKSAS outbound interface file - apply modifications per SOA	AK.15.03.4.X	Claims Financial	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. Spec changes identified. QA completed and results delivered to State on 2/26.
ESPRD01056682 *	Claims Financial Reporting AK-RP-ACT-08I-0007 - AKSAS Journal file report - apply modifications per SOA	AK.15.03.4.X	Claims Financial	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. Spec changes identified. QA completed and results delivered to State on 2/26.
ESPRD00933578 *	Create new CMS64.21E: Enrollment for Medicaid expansion CHIPs, Title XXI-funded Medicaid coverage and CMS-64EC	AK.15.09.4.X	OPTUM/MARS DSS	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. CMS64 report to be handled after implementation of Medicaid Expansion project that is expected to Go-Live in July 2015. Delivery after Medicaid Expansion project GoLive 7/1/15; Suggested release is AK.15.09.4.x
ESPRD00989388 *	S13H1557 - Enterprise Prescriber NPI – Magellan	AK.15.04.1.X	Pharmacy	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. The new date (AK.15.04.1.x) was approved in Joint AK CCB(2/23/15).
ESPRD01055786 *	S14H0653 - Pharmacy License End Date Defect - AK-IN-PRV-02I-0011 – CR	AK.15.04.1.X	Pharmacy	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB.
ESPRD00991456 *	AK CR: Pharmacy Crossovers	AK.15.07.4.X	Pharmacy	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB Per Joint AK CCB(2/23/15) approval to move schedule date to AK.15.07.4.x
ESPRD01079992 *	Create new user friendly lite Member Ad Hoc package	AK.15.03.4.X	Reporting	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. Xerox has validated the IBM Cognos fix pack in QA environment. Xerox has sent test packages to State.
ESPRD01029332 *	S14H0274 - NCCI 2nd Quarter Files	AK.15.05.5.X	Claims Adjudication	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. Per Joint AK CCB(2/23/15) approval to move schedule date to AK.15.04.4.x. As approval was not received by 2/27 this has been rescheduled to May.
ESPRD01004182 *	S13H2126 - Quarterly NCCI code set updates	AK.15.05.5.X	Claims Adjudication	The State has agreed to de-scope this item from the CAP in the 2/23 Joint CCB. Per Joint AK CCB(2/23/15) approval to move schedule date to AK.15.04.4.x. As approval was not received by 2/27 this has been rescheduled to May.

Workstream: Post-CAP CRs – Detail Status

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Overall Workstream Status

At Risk

- Out of the 49 total Post-CAP CRs
- 22 CRs have been closed (16 released and 6 cancelled)
- 22 CRs are planned to be completed by 5/30
- 5 CRs are being followed up for rescheduling and decisions from State (See next slide)

Key Workstream Accomplishments

R(3/28) Release

- Results delivered to State

Key Next Steps

R(3/28) Release

- Wait for State approval and address any issues

R(4/25) Release

- QA testing started

R(5/30) Release

- Requirements Review by State n progress

CR Release Status Summary

Release Dates	Release Phases					Release Status	Comments
	Analysis and DSD	Dev	QA	Reg.	UAT		
R(2/28)	12/8-1/2	1/5-1/23	1/26-2/13	2/16-2/27	2/16-2/27	Complete	
R(3/28)	12/19-1/30	2/2-2/20	2/23-3/13	3/16-3/27	3/16-3/27	On-track	
R(4/25)	1/19-2/27	3/2-3/20	3/23-4/10	4/13-4/24	4/13-4/24	On-track	
R(5/23)	2/16-3/27	3/30-4/17	4/20-5/8	5/11-5/22	5/11-5/22	At-risk	Issue#265, #282

Critical Issues – Management Intervention Required (Details are in Excel Appendix)

Issue type (New / existing)	Issue Summary	Impact (See Excel Appendix for impacted CRs)	Nature of Management Intervention Sought	Due Date	Issue Status
#265	TPL 270/271 requirements not completed yet	Post CAP	Pending State Decision	3/6/15	Resolved (re-scheduled to Sep)
#282	Post CAP CR with undefined scope	Post CAP	Pending Decision to close	3/6/15	At-risk

Critical Risks – Management Intervention Required (Details are in Excel Appendix)

Risk type (New / existing)	Risk Description	Risk Impact (See Excel Appendix for impacted CRs)	Risk Mitigating Action	Target Mitigation Date	Current Risk Status
None					

Key Decisions and Actions to Implement

Decisions:

- None

Actions:

- None

Post CAP In-Scope CR Burn down Summary

Release Dates	Post CAP In-Scope CR Counts				
	Baseline Plan	Current Plan	Released	Deferred	Cumulative Backlog
Previous Releases	22	22	22	-	-
R(3/28)	13	11	-	-	
R(4/25)	7	6	-	-	
R(5/23)	7	5	-	-	
Post May	-	5	-	-	
Total	49	49	22	0	0

Legend

Blue - Complete

Green - CAP execution on track

Yellow - CAP execution at risk

Red - CAP delayed past CAP end date

Workstream: Post CAP In-Scope CRs – Detail Status (continued)

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CR ID	Title	Recommended Release Date	Functional Area	Comment
ESPRD01037469	Behavioral Rehab Services (BRS) - Service Authorization Phase 2b User Interface and associated development work	AK.15.09.4.X	Service Authorization	Per Joint CCB (3/2) State asked team to stop development for this CR as this is not on their top priority list. This is a XXL CR.
ESPRD00855794	Post 12/2011 Code Merge: Provider - Database ES2 CR ESPRD00851582 - P_LANG_CD	AK.15.05.5.X	Provider	Per Jake B: This CR should be closed, but need decision from the State. This is a XXL CR with undefined scope and low business benefit. A summary of business impact to be presented to the State.
ESPRD00986767	TPL Outgoing 270 & Incoming 271	AK.15.09.4.X	EDI Third Party Liability Provider Management TPL	Per Joint AK CCB(3/2/15), it was confirmed these CRs for 270/271 are low priority and should be moved out. We are planning a Sept release date for now. The associated CRs that will also be replanned are: ESPRD00986767, ESPRD00555482, and ESPRD01055299.
ESPRD00555482	AK CR Rel 7 EDI B2B review-triggered changes for Interfaces 270/271 (PARENT)	AK.15.09.4.X	TPL	Per Joint AK CCB(3/2/15), it was confirmed these CRs for 270/271 are low priority and should be moved out. We are planning a Sept release date for now. The associated CRs that will also be replanned are: ESPRD00986767, ESPRD00555482, and ESPRD01055299.
ESPRD00847735	AK Deferred: Add Fraud and Abuse Reporting to the Contact Us Page	AK.15.09.4.X	Architecture	Per Jason Ball: DHCS does not want to withdraw this change, however, this has a low priority . Re-scoped to Sept due to SOA very low priority. Xerox may have further discussion with DHCS on the need for this change.

Workstream: Deferred DDI Deliverables – Detail Status

Reporting Period: 14-Mar-2015 to 20-Mar-2015

Report Date: 27-March-2015

Overall Workstream Status

At Risk

- There are 2 deliverables awaiting State review and approval.

Workstream specific Key Milestones / Deliverables (Next 30 days)

Milestone / Deliverable	Planned Milestone	Projected Date	Milestone Status	Comments (Refer to Issue for Details)
D: Submit Revised Task Analyses to DHSS - Finance	29-Jan-2015	27-Mar-2015	Delayed	Issue # 260
D-I: Test results Sent to State for Group 2 Queries	03-Apr-2015	03-Apr-2015	Delayed	Issue # 261

Key Workstream Accomplishments

- Began updates on Claims Payment manual based on comments received from the State
- Began updates on formal Finance manual based on comments received from the State on the informal

Key Next Steps

- Finalize updates on Finance manual once comments/feedback is received from State on the informal deliverable.
- Finalize updates on Claims Payment manual based on comments received from the State
- Continue development of STARS JUCE queries for Group 2 deliverable

Key Decisions and Action Required to Implement

Decisions:

- None

Actions:

- None

Workstream: Deferred DDI Deliverables – Detail Status

Reporting Period: 14-Mar-2015 to 20-Mar-2015

Report Date: 27-March-2015

Workstream CAP Deliverables				
Deliverable	Baseline Approval Milestone	Projected Approval Milestone	Milestone Status	Comments (Refer to Issue for Details)
D-I: Deliver test results along with the updated scorecard V2.01 with SQL queries	10/31/14	10/31/14	Complete	DHSS approved on 12/8/2014.
D-I: Group3 (Budget Overview, Enrollment, Abortion STARS/JUCE Queries) - Deliver Test Results for Updated Queries to SME	11/07/14	1/15/15	Complete	DHSS approved to cancel on 1/15/2015.
D-I: Group1 (Claims-Provider STARS/JUCE Queries)	11/07/14	1/16/15	Complete	DHSS approved on 1/16/2015.
D: Submit Revised Task Analyses to DHSS - Fraud, Waste and Abuse	02/13/15	2/11/15	Complete	DHSS approved on 2/03/2015.
D: OPT Deliver Provider Billing Manual Addendum (v-1.0) to DHSS	01/22/15	2/06/15	Complete	DHSS approved on 2/12/2015.
D: Submit Revised Task Analyses to DHSS - Reporting and Monitoring	01/16/15	2/13/15	Complete	DHSS approved on 2/10/2015.
D: Submit Revised Task Analyses to DHSS - Quality Improvement	02/02/15	3/06/15	Complete	DHSS conditionally approved on 2/19/15. Xerox made requested updates per DHSS comments. Xerox re-delivered to DHSS on 2/27/15. DHSS approved on 3/3/2015.
D-I: Submit Task Analyses to DHSS - Finance	01/12/15	3/17/15	Complete	Xerox delivered to DHSS on 2/23/2015. DHSS response was due on 3/09/15. Xerox received DHSS comments on 3/17/15. The informal deliverable is now complete.
D-I: Group4 (BHS Tribal STARS/JUCE Queries) - Deliver Test Results to State SME	02/06/15	6/19/15	Delayed	Of the 14 queries, 4 are developed (delivered to DHSS on 2/09/2015), 1 may not be needed, and 9 still need to be developed. Direction from CCB for the remaining 9 queries is to validate the need date and priority with the appropriate State SME. That work is in progress.
D: DHSS Reviews Ad Hoc Packages v2.01 - Learning Management	02/05/15	4/15/15	Submitted Before CAP End Date	Xerox delivered to DHSS on 2/20/2015. DHSS response was due on 3/06/15.
D: Submit Revised Task Analyses to DHSS - Claims Processing	02/20/15	4/15/15	Submitted Before CAP End Date	Xerox delivered to DHSS on 2/19/2015. DHSS response was due on 3/05/15.
D: Submit Revised Task Analyses to DHSS - Claims Payment	02/20/15	4/10/15	Submitted Before CAP End Date	Xerox delivered to DHSS on 2/11/2015. DHSS response was due on 2/26/15. Received DHSS conditional approval on 3/04/15. Xerox plans to redeliver by 3/31/15.
D: Submit Revised Task Analyses to DHSS - Finance	01/29/15	4/17/15	Delayed	Xerox received DHSS comments on the informal deliverable on 3/17/15. Work is in progress on the updates and delivery is planned for 4/3/15.
D-I: Group2 (HCS Member, Travel STARS/JUCE Queries)	11/07/14	4/17/15	Delayed	The joint State/Xerox team is actively working on the requirements validation and development of the remaining 10 queries. At this time, the requirements for these 10 queries have not been approved by the State. The proposed development schedule for the remaining 10 queries was shared with the Joint CCB. Direction from CCB is to validate the need date and priority with the State SME. That work is in progress.

Workstream: Deferred DDI Deliverables – Detail Status (continued)

Reporting Period: 14-Mar-2015 to 20-Mar-2015

Report Date: 27-March-2015

Critical Issues – Management Intervention Required (Details are in Excel Appendix)

Issue type (New / existing)	Issue Summary	Business Impact	Nature of Management Intervention Sought	Due Date	Issue Status
260	Xerox gathering final input for the Finance manual.	Final feedback received. Deliverable has been completed and delivered to the State.	None.	09-Jan-2015	Resolved
261	Xerox is waiting for DHSS response to Group2 HCS Member, Travel STARS/JUCE Queries deliverable.	The delay in receiving comments from the State has negatively impacted the delivery schedule for the informal and formal deliverables.	Need feedback from the State.	09-Jan-2015	Delayed
274	Xerox gathering final input on the Quality Improvement document..	Final feedback received. Deliverable has been completed and delivered to the State.	None	16-Jan-2015	Resolved
275	Xerox gathering final input for the Claims Payment document.	Final feedback received. Deliverable has been completed and delivered to the State.	None	16-Jan-2015	Delayed
276	Xerox gathering final input for the Claims Processing document.	Final feedback received. Deliverable has been completed and delivered to the State.	None	16-Jan-2015	Resolved
279	Xerox is working to address all comments received from the State, and will resubmit this deliverable for approval. Note that one of the State's comments requires a change to be implemented: (ESPRD01115290 - LMS Reg Pkg Roles – Update). This change is not a CR that was identified as part of the CAP. As such, this CAP DDI deliverable will be provided with all State comments addressed with the exception of this change. The CR will be implemented according to the established priorities, and Xerox will strive to implement in CAP period.	The redelivery of this formal deliverable was delayed due to waiting on CR to be implemented	None	23-Jan-2015	Resolved
New 285	Xerox delivered 4 of 14 queries to DHSS on 2/9/15.	Of the 14 queries, 4 are developed (Xerox delivered to DHSS on 2/09/2015), 1 may not be needed as the requirement may be addressed in another report, and 9 need to be developed.	None	27-Mar-2015	Delayed

Workstream: Process Hardening – Detail Status

Reporting Period: 14-Mar-2015 to 20-Mar-2015

Report Date: 27-March-2015

Overall Workstream Status

Complete

- Overall work stream status is green, as the governance and management processes are being updated as required to support CAP execution and on-going operations, in accordance with the baselined schedule.

Key Workstream Accomplishments

- Continued execution of Release, Change and Defect management processes.
- Received CAP approval from State.

Key Next Steps

- Address any process related comments received from the State if/when received.

Workstream specific Key Milestones / Deliverables

Milestone / Deliverable	Planned Milestone	Projected Date	Milestone Status)	Comments (Refer to Issue for Details)
Establish Program Governance	31-Jan-2015	27-Feb-2015	Complete	
Establish Project Management Processes	31-Jan-2015	27-Feb-2015	Complete	
Establish Technical Solution Delivery Processes	31-Jan-2015	27-Feb-2015	Complete	

Critical Issues – Management Intervention Required (Details are in Excel Appendix)

Issue type (New / existing)	Issue Summary	Business Impact (i.e. CAP timeline, CAP Scope, Customer satisfaction)	Nature of Management Intervention Sought	Due Date	Issue Status
None					

Critical Risks – Management Intervention Required (Details are in Excel Appendix)

Risk type (New / existing)	Risk Description	Risk Impact	Risk Mitigating Action	Target Mitigation Date	Current Risk Status
None					

Key Decisions and Actions Required to Implement

Decisions:

- None

Actions:

- None

Workstream: Operational Backlog Reduction – Detail Status

Reporting Period: 14-March-2015 to 20-March-2015

Report Date: 27-March-2015

Overall Workstream Status

At Risk

Overall status summary is below:

Suspended Claims:

- **Exit criteria:** Workable claims inventory in suspense less than or equal to 60,000 lines or 25,000 documents
- **Status:** Exit criteria met; 55,148 lines and 21,204 claims as of 3/23/15. Excludes claims pending state action.

Provider Appeals:

- **Exit Criteria:** 95% of first-level appeals should be appropriately completed in 10 days or less.
- **Status:** Exit criteria met; backlog has been eliminated.

Claims Mass Adjustments:

- **Exit Criteria:** Development and approval of Mass Adjustment Plan
- **Status:** The plan has been updated to accommodate a State requested review prior to marking batches for release. Updated plan sent to State on 2/23/2015. Awaiting State review, feedback and approval.

Critical Issues – Management Intervention Required (Details are in SharePoint)

Issue type (New / existing)	Issue Summary	Business Impact (i.e. CAP timeline, CAP Scope, Customer satisfaction)	Nature of Management Intervention Sought	Due Date	Issue Status
(267)	Claims Mass Adjustment Processing	CAP Timeline	None	2/28/15	Delayed

Key Workstream Accomplishments

- **Suspended Claims:** Suspended claims below CAP exit criteria.
- **Provider Appeals:** Completed provider claims backlog
- **Claims Mass Adjustment:** 73 M-Alerts executed (12 released, 61 suspended), 5 to be executed

Next Steps

- **Suspended Claims:** Continue to work suspended claims
- **Provider Appeals:** N/A, backlog complete
- **Claims Mass Adjustments:** Continue executing mass adjustments.

Workstream specific Key Milestones / Deliverables (Next 30 Days)

Milestone / Deliverable	Planned Milestone	Projected Milestone	Milestone Status	Comments (Refer to Issue for Details)
Provider Appeals: 95% first-level appeals completed within 10 days	31-Dec-2014	23-Jan-2015	Complete	Complete on 1/16/15
Claims mass adjustments: Production Execution 1	6-Jan-2015	19-Jan-2015	Complete	Updated execution schedule delivered - Issue 267
Claims mass adjustments: Production Execution 2	6-Jan-2015	26-Jan-2015	Complete	Updated execution schedule delivered - Issue 267
Claims mass adjustments: Production Execution 3	12-Jan-2015	19-Feb-2015	Complete	Updated execution schedule delivered - Issue 267
Claims mass adjustments: Production Execution 4	19-Jan-2015	26-Feb-2015	Complete	Updated execution schedule delivered - Issue 267
Claims mass adjustments: Production Execution 5	26-Jan-2015	28-Feb-2015	At Risk	Updated execution schedule delivered - Issue 267.
Suspended Claims December Backlog Reduction (<40,000 claims & 75% <30 days)	31-Jan-2015	13-Feb-2015	Complete	Exit criteria satisfied. Hence marking milestones complete.

Critical Risks – Management Intervention Required (Details are in SharePoint)

Risk type (New / existing)	Risk Description	Risk Impact	Risk Mitigating Action	Target Mitigation Date	Current Risk Status

Key Decisions and Actions Required to Implement

Decisions:

- None

Actions:

- None

Legend

Blue - Complete

Green - CAP execution on track

Yellow - CAP execution at risk

Red - CAP delayed past CAP end date

Workstream: Reporting – Detail Status

Reporting Period: 14-March-2015 to 20-March-2015

Report Date: 27-March-2015

Overall Workstream Status

At Risk

- All reports have been delivered and are currently under review with the exception of the MR-O-14 report which was not delivered on 2/25/2015 per the State's request.
 - The MR-O-14 report remains an on-going iterative development effort. The state has decided to defer review of this report until all recent requested changes have been applied.

Key Workstream Accomplishments

- Continued joint review sessions.

Next Steps

- Complete initial review of last CAP report MR-O-90 on Friday, 3/27/15
- Receive approval for MR-O-B01/B02 by Friday, 4/3/15

Critical Issues – Management Intervention Required (Details are in SharePoint)

Issue type (New / existing)	Issue Summary	Business Impact (i.e. CAP timeline, CAP Scope, Customer satisfaction)	Nature of Management Intervention Sought	Due Date	Issue Status
(277)	MR-O-14 Requirements	CAP Timeline	None	27-Feb-2015	Delayed
(281)	MR-O-86 Delivery Date	CAP Timeline	None	27-Feb-2015	Resolved
(284)	Test Package Validation	CAP Timeline	None	27-Mar-2015	On-Track

Critical Risks – Management Intervention Required (Details are in SharePoint)

Risk type (New / existing)	Risk Description	Risk Impact	Risk Mitigating Action	Target Mitigation Date	Current Risk Status
Existing (48)	CMS64 Testing Issues	CAP Timeline	Continuing to test with partial fix	12/18/14	Resolved

Workstream specific Key Milestones / Deliverables

Milestone / Deliverable	Baseline Approval Milestone	Projected Approval Milestone	Milestone Status	Comments (Refer to Issue for Details)
MR-O-89 – Financial Summary by Month of Service	21-Jan-2015	30-April-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Joint team reviewing test results.
MR-O-90 – Incurred Expense Report by Month of Service	21-Jan-2015	30-April-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Initial review scheduled Fri, 3/27/15
MR-O-81 – Lag Adjustment Factor Report	21-Jan-2015	30-April-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Joint team reviewing test results.
MR-O-B01/B02 – Claims/Non-Claims Balancing	21-Jan-2015	3-April-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Sign-off expect by end of next week.
Phase 1 Reports test packaging: MR-0-01, 02, 04, 91	23-Jan-2015	30-April-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Joint team reviewing test results.
CMS416 Annual EPSDT Participation Report	27-Jan-2014	TBD	De-scoped from CAP	Per 2/23 Joint CCB, report was de-scoped from CAP. The state is doing validation of changes that will allow it to be filed by 4/1/15. Additional changes will take 1-2 months to complete.
MR-O-17A/B Cost Recovery/Avoidance Analysis	2-Feb-2015	30-April-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Joint team reviewing test results.
MR-O-25 – Benefit Usage Analysis	3-Feb-2015	30-April-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Joint team reviewing test results.
MR-O-11A – Exception Distribution analysis Summary	4-Feb-2015	30-April-2015	Submitted Before CAP End Date	Xerox Submitted on 2/12/15. State response was due on 2/26. Joint team reviewing test results.
CMS372 – Waiver reports	10-Feb-2015	30-April-2015	Submitted Before CAP End Date	Xerox Submitted on 2/15/15. State response was due on 3/2. Joint team reviewing test results.
MR-O-82 – EPSDT Program Enrollment Totals	25-Feb-2015	TBD	Submitted Before CAP End Date	Xerox Submitted on 2/15/15. State elected to defer review until CMS416 is complete.
MR-O-83 – EPSDT Screening Participation Rates	25-Feb-2015	TBD	Submitted Before CAP End Date	Xerox Submitted on 2/15/15. State elected to defer review until CMS416 is complete.
MR-O-84 – EPSDT Dental Participation Rates	25-Feb-2015	TBD	Submitted Before CAP End Date	Xerox Submitted on 2/15/15. State elected to defer review until CMS416 is complete.
MR-O-85 – EPSDT Avg # Screenings (Ages 3-6)	25-Feb-2015	TBD	Submitted Before CAP End Date	Xerox Submitted on 2/15/15. State elected to defer review until CMS416 is complete.
MR-O-86 – EPSDT Avg # Screenings (0-15 months)	25-Feb-2015	TBD	Submitted Before CAP End Date	Xerox Submitted on 2/15/15. State elected to defer review until CMS416 is complete.
MR-O-14 Modifications	27-Feb-2015	TBD	Delayed	Xerox was prepared to deliver on 2/25. The State stated they won't review report until all WO changes are implemented. (WO #S13H1909 and captured in CR# ESPRD0100121.) This is an on-going iterative project; latest requirements/changes provided by the State to Xerox on 2/4/2015.
CMS64 – Quarterly Expense Report	27-Feb-2015	TBD	De-scoped from CAP	Per 2/23 Joint CCB, report was de-scoped from CAP, and will be handled with Medicaid expansion.

Legend

Blue - Complete

Green - CAP execution on track

Yellow - CAP execution at risk

Red - CAP delayed past CAP end date