Part C State Annual Performance Report (APR) for FFY 2011

Overview of the Annual Performance Report Development:

The development of the Alaska Part C State Annual Performance Report (APR) includes contributions from various stakeholders across the state. Input regarding actual targets and improvement planning was received from local EIS providers and the Early Intervention Committee (of the Interagency Coordinating Council of the Governor's Council on Disabilities and Special Education) through quarterly correspondence, teleconferences and face-to-face meetings. A final draft was disseminated statewide through the State's social media, email, and the Alaska Part C web site for further public review and comment prior to submission to the Office of Special Education Programs.

Alaska assures quality APR data through:

- Quarterly data cleaning and verification by local EIS programs;
- Annual EIS program self assessments;
- Data analysis at the program and child level by State staff;
- Annual database training and data information included in the monthly state newsletter; and
- Working with national technical assistance experts from WRRC, NECTAC and OSEP regarding reporting requirements and pertinent Federal regulations.

In FFY 2011, Alaska EI/ILP partnered with Child Protection Service (CPS) agencies across the state to improve the referral process for infants and toddlers experiencing abuse and neglect. The result was a stunning increase in referrals from CPS. EI/ILP is now looking to enhance available Early Childhood Mental Health Services based on the increased needs of children identified through the Child Abuse Protection and Treatment Act (CAPTA). Alaska enrolled over 130 additional children through the implementation of the CAPTA screening project.

The Alaska Part C Coordinator served on many other statewide initiatives to increase awareness of Part C and other related early childhood services including: Alaska Early Childhood Comprehensive Systems initiative, the Assuring Better Child Development (ABCD) project, a new Interdepartmental Early Childhood Coordinating Council, Positive Behavioral Supports (PBS) Early Childhood committee, Alaska Mental Health Board, Early Childhood Mental Health regulations work group, Autism Alliance and the Autism Grant Steering Committee among others.

Alaska Part C conducted a strategic planning meeting in FFY 2012 with a broad stakeholder group. Working committees were established to develop strategies for improvement planning. Alaska Part C looks forward to including these plans of improvement in its FFY 2012 SPP/APR, due February 1, 2014.

Alaska Part C recognizes the commitment of its staff and stakeholders in the preparation of this report and the improvement of services for infants and toddlers with disabilities and their families throughout the state.

Part C State Annual Performance Report (APR) for FFY 2011

Overview of the Annual Performance Report Development:

See page 1.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services, including the reasons for delays.

Applied:

891 infants and toddlers with IFSPs received the early intervention services on their IFSPs in a timely manner;

895 total infants and toddlers with IFSPs

891/895= 99.55%

FFY	Measurable and Rigorous Target
FFY 2011	100%

Actual Target Data for FFY 2011: 99.55%

Alaska Part C data include all eligible enrolled infants and toddlers with IFSPs enrolled at local EIS programs for the full reporting period (July 1, 2011 – June 30, 2012). These data are collected through the State's Part C web database.

Alaska included 126children for whom the state identified cause for delay as "exceptional family circumstances" in both the numerator and the denominator for this indicator.

Alaska Part C collected data on the number of days late and delay reasons for each child who did not receive timely services. Timely services are defined as those "IFSP services initiated on or before the IFSP start date as established by the IFSP team, including parent."Four children did not receive timely services (excluding untimely services due to exceptional family circumstances).

Range of Days Late (excluding family circumstances) FFY 2011	Number of Children	Percent of Children
1 to 7 Days	0	0%
8 to 30 Days	3	75%
31 to 90 days	0	0%
➢ 90 Days	1	25%
Total	4	100%

Reasons for Days Late (excluding family circumstances)	Number of Children	Percent of delays
Provider Circumstances	3	75%
Agency Circumstances	1	25%

Provider circumstances due to need for staff training. Training has been provided to correct and improve data entry, review and verification.

Agency circumstance was due to a staffing shortage. The local EI agency with vacancies has successfully recruited and is currently at full staff capacity. Alaska Part C will report on verification of the correction of noncompliance in the FFY 2012 APR.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for FFY 2011: Alaska improved from 99.52% (827/831) in FFY 2010to 99.55% (891/895) in FFY 2011.

While Alaska Part C did not meet the target of 100%, an overall progress trend is evident from FFY 2004 to FFY 2011. Note: In FFY07, Alaska Part C implemented a new timely services definition. Extensive training with local EIS programs increased compliance in the following years.

Indicator 1 Progress Table								
Measurable and Rigorous Target 2008-2009	Baseline FFY04 2004-2005	Actual Data FFY05 2005-2006	Actual Data FFY06 2006-2007	Actual Data FFY07 2007-2008	Actual Data FFY08 2008-2009	Actual Data FFY09 2009-2010	Actual Data FFY10 2010-2011	Actual Data FFY11 2011-2012
100%	85%	84%	84%	54%	86.5%	97.77%	99.52%	99.55%

Alaska's Part C system includes 16 EIS agencies located regionally throughout the state. These agencies provide and coordinate direct early intervention services.

Timeliness of services is often impacted by geographic and climate barriers that can delay travel to deliver services for a month or more at a time. In rural Alaska, staff must be able to communicate with families from many diverse cultures and be available to travel great distances. Recruitment and retention of qualified staff to provide services can be very challenging. Professional positions may remain open for several months before being filled, particularly in more remote Alaska. To address these issues, Alaska Part C partnered with the ICC to promote in-state training programs. The University of Alaska now offers professional degree programs including Speech Language Pathology, Occupational Therapy, and Early Childhood Special Education. Alaska Part C is completing a Part C credentialing program to ensure highly qualified staff and compliance with all Federal and State requirements.

The following improvement activities were completed in FFY 2011:

- Intensive technical assistance was provided each quarter for agencies with slippage including, file reviews, on-site verification, and staff training
- Findings identified within the current fiscal year required local EI agencies with noncompliance to report quarterly on progress toward improvement and compliance. All agencies met compliant status within 12 month of initial findings
- Part C credentialing staff promoted ongoing stakeholder input to ensure workforce development related to all compliance requirements
- Telehealth continued with store and forward technology; all local EI programs received flip cameras with training in FFY10. Many rural agencies began filming home visits or trained parents to film child in natural environment then post video on State supported secure site (YouSendIt). While not used for evaluations and initial visits, this technology has increased accessibility to early intervention consultative services to families in rural settings.
- State staff continued to participate on the Governor's Council on Disabilities and Special Education Rural Services Ad Hoc committee.

Verification of Correction of FFY 2010 noncompliance (either timely or subsequent):
Level of compliance (actual target data) State reported for FFY 2010 for this indicator: 99.52%

a. Number of findings of noncompliance the State made during FFY 2010 (the period from July 1, 2010, through June 30, 2011)	**4**
b. Number of FFY 2010findings the State verified as timely corrected (verified as corrected within one year from the date of notification to the EIS program of the finding)	**4**
c. Number of FFY 2009 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	**0**

As required by OSEP's June 27, 2012, FFY 2010 SPP/APR Response Table, Alaska verified that each EIS program with noncompliance reflected above : (1) is correctly implementing the timely service provision requirements (i.e., achieved 100% compliance) in 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1) based on updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has initiated services, although late, for any child whose services were not initiated in a timely manner, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memorandum 09-02, dated October 17, 2008 (OSEP Memo 09-02).

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2010:

Using its statewide database and file review, Alaska confirmed that services were initiated for all 4children, although late. -

Additionally, Alaska reviewed data of at least one subsequent quarter that demonstrated 100% compliance with the timely service provision requirements in 34 CFR §§303.340(c), 303.342(e), and 303.344(f) for the program in order to verify programmatic correction of findings.

Consistent with the OSEP 09-02 Memo, Alaska Part C verified that the EIS program corrected specific noncompliance identified in FFY 2010 and is correctly implementing the regulatory requirements by:

- Performing a child record review for the child for whom a timely IFSP service did not occur. Alaska Part C monitoring staff verified, through this record review, reasons why the EIS program did not conduct timely IFSP service(s) for the child where noncompliance was identified in FFY 2010.
- A Corrective Action Plan (CAP) was issued for the EIS Program where noncompliance occurred including a root cause(s) for the problem, when that was applicable. The CAP also specified any required changes to policies, procedures or practices as applicable. The state collected data and documentation verifying that the EIS program completed the corrective action item included in their Corrective Action Plans issued by the state.
- Alaska Part C further verified that timely IFSP services were provided for 100% of eligible children enrolled during at least one quarter following the initial noncompliance correction. Compliance was monitored through on-going desk audits of annual self assessments, quarterly progress reporting and database data verification for the local EIS program with an indicator 1noncompliance finding. Alaska Part C verified with this review that the EIS program was 100% compliant with 34 CFR §§303.340(c), 303.342(e), 303.344(f)(1), indicating they are correctly implementing this regulatory requirement.

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY2012:

New: Alaska Part C will conduct teleconferences to review new State policies and procedures based on new IDEA regulations specific to indicator 1.

New: Monthly Part C newsletter will include clarification on requirements of indicator 1

New: Social media and PR will include parent rights for timely services

Part C State Annual Performance Report (APR) for FFY 2011

Overview of the Annual Performance Report Development:

See indicator 1 for APR overview.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement: Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Applied:

783 infants and toddlers with IFSPs received the early intervention services in the home or community-based settings;

797 total infants and toddlers with IFSPs

783/797= 98.24%

FFY	Measurable and Rigorous Target
2011	95%

Actual Target Data for FFY 2011: 98.24%

These data are collected through Alaska's Part C database and include all children enrolled with an IFSP on December 1, 2011. This is the same data reported under Section 618. Alaska Part C requires community settings to be in the natural environment. Home and community settings are combined for the actual target. Alaska exceeded its target for this indicator.

Service Settings	Number of children	Percent
Home	738	92.59%
Community	45	5.65%
Other	14	1.76%
Total	797	100%

Fourteen families received primary services in other settings (local EIS offices and therapy clinic) due to:

1) a community which utilizes institutionalized group home setting as placement for children in child protection custody and
2) family preference for services in local EIS office.

Subsequent file review confirmed that five of the fourteen children received services primarily in the natural environment on revised IFSPs and the remaining had appropriate justification statements. Alaska IFSP requires justification of services not held in the natural environment and plan for transitioning services to the natural environment as appropriate. Local EIS annual self-assessments include file reviews to ensure justification for services not held in the natural environment. These self-assessments are further reviewed by State Part C staff thru onsite monitoring and desk audits. At the time of this APR report 98.87% (788/797) of the children reported in the 618 report had services in the natural environment.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2011:

Alaska's FFY 2011 actual target exceeds the state measurable target of 95%. FFY 2011 data demonstrates a slight decrease over FFY 2010 data and a continuous increase over an eight-year period

Indicator 2 Progress Table							
Baseline FFY04 2004-2005	Actual Data FFY05 2005-2006	Actual Data FFY06 2006-2007	Actual Data FFY07 2007-2008	Actual Data FFY08 2008-2009	Actual Data FFY09 2009-2010	Actual Data FFY10 2010-2011	Actual Data FFY11 2011-2012
93.4%	94.5%	95.1%	95.8%	95.8%	99.7%	99.43%	98.24%

Alaska's data system incorporates child-level reports for provision of services in the natural environment. Alaska's monitoring system ensures that services not held in the natural environment are provided in the most appropriate setting to meet the needs of the child, as determined by the IFSP team. State staff provides guidance for appropriate justification statements on the IFSP if services are not provided in the natural environments.

Alaska completed the following improvement activities in FFY 2011:

- New Local EIS staff training clarifying federal requirements on provision of services in the natural environment
- Key stakeholders reviewed newly created Part C credentialing modules on indicator 2 and provided feedback on strategies or changes needed to improve delivery of services in the natural environment.
- Training provided for a new IFSP requiring explanation for services not held in natural environment and plan for resuming or starting services in the natural environment.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:

New: A new automated IFSP will be implemented in the Part C Database. This new IFSP requires justification for services not held in the natural environment and strategies to move toward providing services in everyday routines, activities and places.

Part C State Annual Performance Report (APR) for FFY 2011

Overview of the Annual Performance Report Development:

See indicator 1 for APR overview.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

A. Positive social-emotional skills (including social relationships);
B. Acquisition and use of knowledge and skills (including early language/ communication); and
C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Outcomes:

A. Positive social-emotional skills (including social relationships);

B. Acquisition and use of knowledge and skills (including early language/communication); and

C. Use of appropriate behaviors to meet their needs.

Progress categories for A, B and C:

a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by(# of infants and toddlers with IFSPs assessed)] times 100.

d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

Summary Statements for Each of the Three Outcomes (use for FFY 2009-2010reporting):

Summary Statement 1: Of those infants and toddlers who entered and exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 1:

Percent = # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in category (d) divided by [# of infants and toddlers reported in progress category (a) plus # of infants and toddlers reported in progress category (b) plus # of infants and toddlers reported in

progress category (c) plus # of infants and toddlers reported in progress category (d)] times 100.

Summary Statement 2: The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 2: Percent = # of infants and toddlers reported in progress category (d) plus [# of infants and toddlers reported in progress category (e) divided by the total # of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)] times 100.

FFY	Measurable and Rigorous Target
2011	*See Data Tables Below*

Actual Target Data for FFY 2011:

These data are collected through Alaska's Part C database and include all children in the state who exited with initial and exit Child Outcomes Summary ratings within the reporting period and were enrolled in Part C for at least six months.

Alaska Part C collects and aggregates data from the Part C database. Local EIS providers use one or more of the following tools as part of the child outcomes summary process:

- *Assessment, Evaluation and Programming System for Infants and Toddlers (AEPS)*
- *Battelle Developmental Inventory II*
- *Bayley–III Scales of Infant and Toddler Development, 3rd Edition*
- *Brigance Inventory of Early Development (IED-II, 2004)*
- *Carolina Curriculum for Infants and Toddlers with Special Needs, Third Edition (CCITSN; 2004)*
- *Early Learning Accomplishments Profile (ELAP, 2002)*
- *Hawaii Early Learning Profile (HELP® Birth to 3, ©2004)*

All children who enter the Alaska Part C system and who are enrolled for at least six months are assessed at least twice. Local EIS providers use procedures based on recommendations from the Early Childhood Outcomes (ECO) Center. The following outlines the measurement system:

- Assessments include input from more than one source and input from the child's family
- Assessments are summarized to provide a "score" based on the ECO Center 7 point rating scale
- One or more of the anchor tools (noted above) are recommended for assessment
- Children are assessed close to time of entry and close to exit from the Part C system so developmental progress can be accurately represented in pre- and post- ratings
- Data from the summary "score" is enter into the Part C data system at least twice for each child who is enrolled for over six months
- The Part C data manual describes directions on how to record data for outcome measurement.

The outcome system is included in the state's monitoring process. The data reported are evaluated for accuracy and timeliness. The database has build-in edit checks to prevent knowable errors (date range, scores, missing data).

Progress Data for Infants and Toddlers Exiting during 2011-2012(Progress categories for A, B and C):

Percentage of Part C Children falling in each of five OSEP Categories FFY 2011 7/1/2011 - 6/30/2012			
OSEP Improvement Category Percent	**Emotional**	**Knowledge**	**Action**
Percent of Children			
e. Children who maintained functioning at a level comparable to same-aged peers	24.88%	13.24%	15.01%
d. Children who improved functioning to reach a level comparable to same-aged peers	25.35%	32.65%	35.10%
c. Children who improved functioning to a level nearer to same-aged peers but did not reach it	22.81%	28.77%	27.02%
b. Children who improved functioning but not sufficient to move nearer to functioning comparable to same age peers	22.35%	22.60%	18.94%
a. Children who did not improve functioning	4.61%	2.74%	3.93%

Number of Part C Children falling in each of five OSEP Categories FFY 2011 7/1/2011 - 6/30/2012			
OSEP Improvement Category Counts	**Emotional**	**Knowledge**	**Action**
Number of Children	434	438	433
e. Children who maintained functioning at a level comparable to same-aged peers	108	58	65
d. Children who improved functioning to reach a level comparable to same-aged peers	110	143	152
c. Children who improved functioning to a level nearer to same-aged peers but did not reach it	99	126	117
b. Children who improved functioning but not sufficient to move nearer to functioning comparable to same age peers	97	99	82
a. Children who did not improve functioning	20	12	17

Summary Statements for Part C Children FFY 2011 7/1/2011 - 6/30/2012			
Summary Statement 1	**Emotional**	**Knowledge**	**Action**
Of those children who entered the program below age expectations in the Outcome Area, the percent who substantially increased their rate of growth by the time they exit the program.	64.11% (209/326)	70.79% (269/380)	73.10% (269/368)
Summary Statement 2			
The percent of children who are functioning within age expectations in the Outcome Area by the time they exit the program.	50.23% (218/434)	45.89% (201/438)	50.12% (217/433)

Alaska Part C met its measurable and rigorous target in only one summary statement category for Infants and Toddlers Exiting FFY 2011 (Outcome A. 1).

Summary Statements	**FFY 2011 Measurable**	**FFY 2011 Actual**

	and Rigorous Target	Target Data
Outcome A: Positive social-emotional skills		
1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program	70%	64.11%
2. The percent of children who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program.	58.50%	50.23%
Outcome B: Acquisition and use of knowledge and skills		
1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program	78.5%	70.79%
2. The percent of children who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program	60.50%	45.89%
Outcome C: Use of appropriate behaviors to meet their needs		
1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program	74%	73.10%
2. The percent of children who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program	55.50%	50.12%

State Part C staff reviewed baseline and projected targets for this indicator. It is the opinion of the staff that baseline percentages are higher than expected for future years. Further analyses of the COSF data indicates that several factors may have a negative impact on child outcome data, including length of time enrolled in the program, certain diagnoses, and greater levels of delay at initial evaluation. Alaska continued to participate in the ENHANCE study of outcomes data in FFY 2011 and will have more in-depth analysis to report on outcome variables in the FFY 2012 APR.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for FFY 2011:

Alaska Part C began an in-depth analysis of COS data with the assistance of the ENHANCE project in FFY 2011. This analysis will be completed in FFY 2012.

Alaska Part C completed the following activities to improve child outcomes data quality and monitoring.

- State staff and other stakeholders continue active participation in ECO Center training and teleconferences;
- The state continued a service agreement with the University Center for Excellence in Developmental Disabilities at University of Alaska to assist with analysis of the statewide COSF data;
- Alaska continued involvement as a TACSEI partner states in 2011-2012. Early intervention providers and care givers received in-depth training and coaching on evidence-based practices to promote the social-emotional development of young children;

- State staff provided periodic training on procedures for completing the COS for new direct service staff; and
- Alaska Part C credentialing process included a training module on Child Outcomes.

Slippage occurred for this indicator for Outcome A in Summary Statements 1 and 2 and Alaska Part C did not meet its targets. As stated above, State Part C staff reviewed baseline and projected targets for this indicator. It is the opinion of the staff that baseline percentages are higher than expected for future years. For that reason, Alaska Part C did not meet its targets.

Further analyses of the COSF data indicates that several factors may have a negative impact on child outcome data, including length of time enrolled in the program, certain diagnoses, and greater levels of delay at initial evaluation. Alaska continued to participate in the ENHANCE study of outcomes data in FFY 2011 and will have more in-depth analysis to report on outcome variables in the FFY 2012 APR.

Alaska believes that slippage in the data for this indicator may not represent actual slippage in children reaching outcomes, but may be the result of ongoing training on collecting and recording child outcomes.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:
Alaska Part C has no changes to its proposed targets or improvement activities.

Part C State Annual Performance Report (APR) for FFY 2011

Overview of the Annual Performance Report Development:

See indicator 1 for APR overview

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 4: Percent of families participating in Part C who report that early intervention services have helped the family:

A. Know their rights;
B. Effectively communicate their children's needs; and
C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.

B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.

C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

Applied:

A. 75.3% = [(64 respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (85 of respondent families participating in Part C)] times 100.

B. 78.8%= [(67 respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (85 of respondent families participating in Part C)] times 100.

C. 82.4% = [(70 respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (85 of respondent families participating in Part C)] times 100.

FFY	Measurable and Rigorous Target	
2011	**A. Know their rights;**	**100%**
	B. Effectively communicate their children's needs;	**100%**
	C. Help their children develop and learn.	**100%**

Actual Target Data for FFY 2011:

A. Percent of responding families who indicated EI has done an excellent job helping them know their rights all or most of the time.	75.3%
B. Percent of responding families who indicated EI had done an excellent job helping them effectively communicate their children's needs all or most of the time.	78.8%
C. Percent of responding families who indicated EI helped them to help their children develop and learn all or most of the time.	82.4%

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2011:

An Alaska Part CFFY 2011Family Outcomes Survey was conducted, asking about family experiences based on five OSEP family outcome areas and general level of satisfaction with EI/ILP services:

1. Families understand their children's strengths, abilities and special needs.
2. Families know their rights and advocate effectively for their children.
3. Families help their children develop and learn.
4. Families have support systems.
5. Families access desired services, programs and activities in their community.
6. Families are satisfied with the services they received.

Nineteen survey items used in the FFY 2011 Family Survey to measure OSEP outcomes were worded the same as those used in the FFY 2009-2010 surveys. Two previous items that had not contributed meaningfully to results were eliminated in FFY 2011. Other than these minor improvements consistency in items across time lends a high level of confidence to comparisons across survey years. A copy of the revised survey is attached to the APR.

The protocol used a 4-point Likert scale recommended for improved cultural appropriateness for Alaska's indigenous populations. Families were asked to rate experiences with their children in EI/ILP on statements by choosing how often each statement was true for their family: none of the time, some of the time, most of the time, or all of the time. This 4-point Likert scale was recommended by a group of Alaska Native providers who had consulted as a group about making survey instruments more culturally appropriate for Alaska's indigenous cultures.

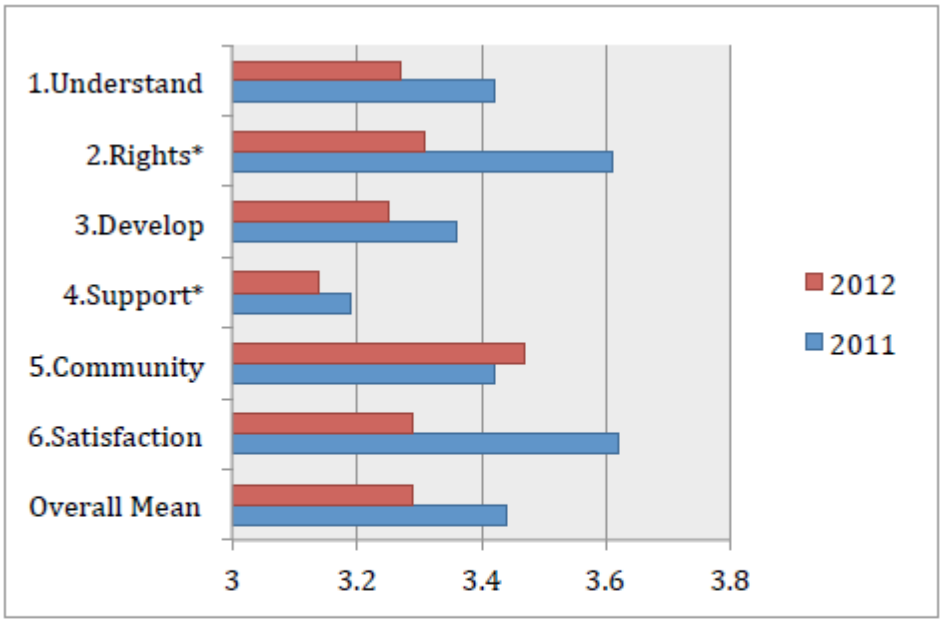
In FFY 2011, the EI/ILP wanted to have more detailed information from families about access to childcare in their communities. To that end, five items were added to the protocol covering how much ILP providers worked with childcare providers, the availability of childcare for children with special needs, the importance of childcare in the community, access to childcare providers who could follow and IFSP, and reasons people did not have regular childcare.

Families enrolled during the 2011 calendar year with children eligible for Part C receiving services for at least 6 months comprised the eligible population (N = 693 families with 736 children). The FFY 2011 Family Outcomes Survey utilized a randomly selected 20% target group of families, stratified geographically by ILP grantee service area and by race of children. This sampling plan was approved by OSEP and implemented in the FFY 2007 survey. The FFY 2011 survey was comprised of 140 families with 144 children. Survey packets were mailed to the target group, inviting them to complete the survey by mail, online, or over the phone. Follow-up was conducted with phone calls and mailed postcards. There were 85 completed surveys rendering a 61% response rate.

Representativeness of Response Group:

Characteristics of children in responding families were similar to those in both the target group (stratified random selection) and the total eligible population. This includes race, age, enrollment status, how children qualified for services, reasons they exited services, and exit placements. Alaska Part C believes the response group was representative of the Part C family population.

It can be concluded from the results of the 2012 Family Outcomes Survey that most families were satisfied most or all of the time with ILP services received during FFY 2011.



*One item was removed from this outcome area in the 2012 protocol.

Figure 1: Relative strengths of outcome areas and comparison with the previous year

Alaska did not meet its targets for this indicator in FFY 2011. There was a significant reduction in responses regarding families being informed of rights. There is a regional difference with this indicator item. This information was presented to the EI providers at a statewide face-to-face meeting and a task group of providers formed from that region to identify problems and develop improvement plans for this indicator. Alaska Part C will incorporate these improvement plans in the FFY 2012 statewide Part C strategic plan. Additional slippage is noted in family responses to indicators; effectively communicating children's needs, and helping children learn and develop.

Family Outcomes Improvement Trends	FFY 2007	FFY 2008	FFY 2009	FFY 2010	FFY 2011
Know their rights	84.1%	88.7%	90.8%	91.8%	75.3%
Effectively communicate their children's needs	97.1%	91.9%	90.8%	91.8%	78.8%
Help their children develop and learn	92.6%	88.5%	92.3%	93.1%	82.4%

The strongest survey outcome areas in FFY 2011were:

- Caregivers perceiving children's progress;
- Comfort of caregivers in meetings with professionals;
- Families and professionals working together to develop plans; and
- Family access to excellent medical care.

The survey suggests a systemic, regional change related to reduced quality of ILP family outcomes during the past year. From surveys in FFYs 2008 through 2010, there was a steady trend of improvement in statewide family satisfaction, but the downturn in FFY 2011was significant.

Ongoing Improvement Activities:

- State staff and other stakeholders continue active participation in ECO Center training and teleconferences.
- The state continued a service agreement with the University Center for Excellence in Developmental Disabilities at the University of Alaska to assist with analysis of the statewide child and family outcomes data.
- The Alaska Part C credentialing module continued to focus heavily on family engagement. Stakeholders have been actively involved in the module review and completion.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:

New: Convene regional task group to analyze family outcome slippage and develop improvement plan for FFY 2012. Imbed family outcome improvement plans into the Alaska Part C FFY 2012 statewide strategic planning.

New: evaluate family outcome targets for possible revision based on stakeholder review and discussion.

Part C State Annual Performance Report (APR) for FFY 2011

Overview of the Annual Performance Report Development:

See indicator 1 for APR overview.

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent=[(# of infants and toddler birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

Applied:

1.66% = [(186 infants and toddler birth to 1 with IFSPs) divided by 11,177 (the population of infants and toddlers birth to 1)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2011	**1.48%**

Actual Target Data for FFY 2011:1.66%

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for FFY 2011:

Alaska demonstrates an increase in birth to one population served from 1.48% in FFY 2010 to 1.66% in FFY 2011. This is an overall increase for the past seven years. Alaska exceeded the FFY 2011 target for this indicator.

Indicator 5 Progress Table Age Enrolled - Birth to One							
Baseline FFY04 2004-2005	Actual Data FFY05 2005-2006	Actual Data FFY06 2006-2007	Actual Data FFY07 2007-2008	Actual Data FFY08 2008-2009	Actual Data FFY09 2009-2010	Actual Data FFY10 2010-2011	Actual Data FFY11 2011-2012
0.8%	0.93%	0.76%	1.14%	1.02%	1.45%	1.48%	1.66%

Alaska Part C exceeds the national FFY 2011 1.02% of birth to one-year old population served.

Alaska continued the following improvement activities in FFY 2011:

- Plans for local outreach were developed based on the local provider needs, resources, and evidence of effective child find and incorporated into CAPs as needed.
- State EI/ILP staff reviewed year-end data with each program for numbers of children enrolled and strategies to increase enrollment for infants and their families in need of services.
- New social media plan initiated with early childhood parenting tips, Part C updates and links to early childhood resources and news were distributed electronically. Facebook rapidly grew to nearly 100 participants.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:
New: The timeline for fully implementing a new Part C online screening has been extended to FFY 2012.

Part C State Annual Performance Report (APR) for FFY 2011:

Overview of the Annual Performance Report Development:

See indicator 1 for APR overview.

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent=[(# of infants and toddler birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

Applied:

2.43% = [(797 infants and toddler birth to 3 with IFSPs) divided by 32,811(the population of infants and toddlers birth to 3)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2011	**2.6%**

Actual Target Data for FFY 2011:2.43%

Alaska Part C did not meet its target for FFY 2011 and demonstrated slightly less than national average of 2.79%. Alaska demonstrates an increase in birth to 3 year old population served over the past 8 years.

Indicator 6 Progress Table
Birth to Three Percent of Population and Actual December 1 Enrollment

	Baseline FFY04 2004-2005	Percent and Actual Data FFY05 2005-2006	Percent and Actual Data FFY06 2006-2007	Percent and Actual Data FFY07 2007-2008	Percent and Actual Data FFY08 2008-2009	Percent and Actual Data FFY09 2009-2010	Percent and Actual Data FFY10 2010-2011	Percent and Actual Data FFY11 2011-2012
Birth to Three Percent of Population	2.0%	2.09%	1.96%	1.94%	1.79%	2.0%	2.16%	2.43%
Actual December 1 Enrollment	610	642	595	620	576	675	706	797
Birth to Three Population	30,262	30,101	30,328	31,502	32,215	33,734	32,731	32,811

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2011:

EI/ILP strives to provide services to all infants and toddlers with developmental delay and or disabilities who qualify for services. Alaska defines Part C eligible children as those children who experience a significant developmental delay (at or greater than 50% in one or more developmental domains: cognitive, physical, communication, social/emotional, or adaptive) or those children who have an identified condition that would result in a significant delay.

The December 1, 2011, point-in-time data demonstrate an increase in percent of birth to three populations served over the last year. Alaska Part C realized an overall seven-year trend increase of annual Part C enrollment.

Enrollment Trends	FFY05	FFY06	FFY07	FFY08	FFY09	FFY10	FFY11
Total annual Part C enrollment	1350	1308	1406	1458	1436	1507	1663

Alaska continued the following improvement activities in FFY 2011:

- Alaska local EIS Programs submit annual child find plans for regional outreach. Alaska's monitoring system requires local EIS programs to report child find activities. Referral and enrollment rates are reviewed quarterly by state EI staff.
- State EI/ILP staff reviewed year-end data with each local EIS program. Numbers of children enrolled and strategies to increase enrollment for infants in need of services and their families are identified and implemented. Annual corrective action plans incorporate child find goals as necessary.
- Public awareness strategies were evaluated to ensure that program information is disseminated in a variety of ways including: program participation in health fairs, state wide conferences, brochures, parent mail outs, medical providers, social media and web-based.
- State EI/ILP reviewed indicator targets with the ICC and EIS providers to identify potential strategies of improvement or to review targets. Strategies and discussion for this indicator continue.
- NEW: Alaska Part C contracted with a registered nurse discharge planner working primarily with native families across the state. Her efforts to engage families and educate pediatricians and neonatologists regarding referral have resulted in an increase in referrals and follow-up for families who may be otherwise difficult to engage upon returning to their rural villages.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:

Alaska revised its improvement activities for this indicator with stakeholder input.

Part C State Annual Performance Report (APR) for FFY 2011:

Overview of the Annual Performance Report Development:

See indicator 1 for APR overview.

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation, initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline) divided by the (# of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted)] times 100.

Account for untimely evaluations, assessments, and initial IFSP meetings, including the reasons for delays.

Applied:

821 eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline

824 eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted

821/824*100 = 99.64%

FFY	Measurable and Rigorous Target
2011	100%

Actual Target Data for FFY 2011:99.64%

These data are collected through Alaska's Part C database and include all eligible children for whom an evaluation and assessment and an initial IFSP meeting was required to be conducted in the state during the full reporting period (July 1, 2011 – June 30, 2012).

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2011: Alaska did not meet the target of 100%.

Alaska demonstrates an increase for indicator 7 from FFY 2010 to FFY 2011:

Indicator 7 Progress Table								
Measurable and Rigorous Target 2008-2009	Baseline FFY04 2004-2005	Actual Data FFY05 2005-2006	Actual Data FFY06 2006-2007	Actual Data FFY07 2007-2008	Actual Data FFY08 2008-2009	Actual Data FFY09 2009-2010	Actual Data FFY10 2010-2011	Actual Data FFY11 2011-2012
100%	71%	88%	85.5%	84%	93.04%	99.39%	98.63%	99.64%

Alaska Part C collected data on the number of days late and delay reasons for each child for whom an evaluation and assessment and an initial IFSP meeting was not conducted within the 45-day timeline in its Part C database.

FFY 10	Number of children	Percent of children
1 to 7 Days	0	0%
8 to 30 Days	0	0%
31 to 90 Days	2	67%
>90 Days	1	33%
FY 10 Total	3	100%

*Reasons for delays

Provider Circumstances	2
Agency Circumstances	1

Reasons for delay included: one provider illness, one staff vacancy during a time with increased referrals, and one provider training issue related to documentation. Staff vacancies have been filled at this time.

Alaska included 185 children for whom the state identified the cause for delay as “exceptional family circumstances” in both the numerator and the denominator for this indicator. Additionally, one delay, attributed to weather circumstances was included in the numerator and denominator for this indicator.

Alaska completed the following (SPP) improvement activities in FFY 2011:

- State EI/ILP program continued to provide a forum for innovative local programs to share methods and strategies with all local programs on strategies used to meet the 45-day timeline during the annual EIS Coordinator Conference and monthly EIS Coordinator teleconferences.
- State EI/ILP program staff provided technical assistance and training to local EIS programs specifically related to improvement strategies for meeting the 45-day timeline, with special efforts to train new staff.
- Alaska’s monitoring system requires local EIS programs to use the EI/ILP database to monitor progress for this indicator.
- Corrective action plans were required for all local EIS programs below 100% in indicator 7.
- Automated CAPTA referral system continued to prove challenging to the Part C data system. All children with substantiated reports of harm receive an automated referral to the Part C data system at time of substantiation. Modifications to the system included: only referrals of children under three and children for whom harm was substantiated were referred. Training

was provided to local EIS programs regarding the automation changes and process for early screening, evaluation and IFSP development for this population.

- State EI/ILP staff continued to participate on the Governor's Council on Disabilities and Special Education Rural Services Ad Hoc committee to improve service delivery in remote regions of Alaska.

Response to OSEP's June 27, 2012 Response Table

OSEP analysis/next steps: "Because the State reported less than 100% compliance for FFY 2010, the State must report on the status of correction of noncompliance reflected in the data and the State reported for this indicator".

Correction of FFY 2010 Findings of Noncompliance: Level of compliance (actual target data) State reported for FFY 2010 for this indicator: 98.63%

a. Number of findings of noncompliance the State made during FFY 2010 (the period from July 1, 2010, through June 30, 2011)	**10**
b. Number of FFY 2010findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	**10**
c. Number of FFY 2009 findings not verified as corrected within one year [(1) minus (2)]	**0**

Verification of Correction of FFY 2010 noncompliance (either timely or subsequent):

As required by OSEP's June 27, 2012, FFY 2010 SPP/APR Response Table, Alaska verified that each EIS program with noncompliance reflected above : (1) is correctly implementing 45-day timeline requirements (i.e., achieved 100% compliance) in 34 CFR §§303.321(e)(2), 303.322, and 303.342(a) based on updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has completed the evaluation, although late, for any child whose initial evaluation, initial assessment and initial IFSP was not completed in a timely manner, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memorandum 09-02, dated October 17, 2008 (OSEP Memo 09-02).

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2010:

Alaska verified correction in each EIS program with noncompliance reflected in the FFY 2010 data. Alaska verified through its statewide database that all children received an initial assessment, initial evaluations and an initial IFSP, although late, unless they were no longer in the jurisdiction of the EIS program. Alaska also verified that each program achieved 100% compliance based on a review of updated data subsequently collected through self assessments, State level desk audits, and the State data system. Each agency with non-compliance received intensive technical assistance from State Part C staff, a compliance plan and was required to demonstrate 100% subsequent compliance through the State data system and randomly selected file reviews.

Consistent with the OSEP 09-02 Memo, Alaska Part C verified that the EIS program corrected specific noncompliance identified in FFY 2010 and is correctly implementing the regulatory requirements by:

- Performing a child record review for the child for whom a timely initial assessment, initial evaluation and initial IFSP did not occur. Alaska Part C monitoring staff verified, through this record review, reasons why the EIS program did not conduct timely initial assessment, initial evaluation and initial IFSP for the child where noncompliance was identified in FFY 2010.

- A Corrective Action Plan (CAP) was issued for the EIS Program where noncompliance occurred including a root cause(s) for the problem, when that was applicable. The CAP also specified any required changes to policies, procedures or practices as applicable. The state collected data and documentation verifying that the EIS program completed the corrective action item included in their Corrective Action Plans issued by the state.
- State staff conducted a review of subsequent, updated data of child records for children newly referred to Part C, after identification of finding (10% random file selection or a minimum of 10 files) at the EIS program and verifying 100% compliance;
- Alaska Part C further verified that timely initial assessment, initial evaluation and initial IFSP were provided for 100% of eligible children referred during at least one quarter following the initial noncompliance correction. Compliance was monitored through on-going desk audits of annual self assessments, quarterly progress reporting and database data verification for the local EIS program with an indicator 7 noncompliance finding. Alaska Part C verified with this review that the EIS program was 100% compliant with 34 CFR §§303.321, 303.322, and 303.342(a), indicating they are correctly implementing this regulatory requirement.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:
New: Stakeholders to review FFY 2012 improvement activities and revise based on evaluation of current practices and trends.

Part C State Annual Performance Report (APR) for FFY 2011:

Overview of the Annual Performance Report Development:

See Indicator 1 for general APR overview.

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8A: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

A IFSPs with transition steps and services

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of children exiting Part C who have an IFSP with transition steps and services) divided by the (# of children exiting Part C)] times 100.

Applied:

525 children exiting Part C who had an IFSP with transition steps and services

530 children exiting Part C

525/530*100=99.06%

FFY	Measurable and Rigorous Target
2011	**100%**

Actual Target Data for FFY 2011:99.06%

These data are collected through Alaska's Part C database and include children who transitioned during the full reporting period (July 1, 2011 – June 30, 2012) statewide. This indicator measures compliance by the child's third birthday or at exit if child leave the Part C program before the age of three.

Children receiving a timely transition plan	525
Children not receiving a timely transition plan	5
Total	530

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred in FFY 2011:

Alaska Part C did not meet the measurable and rigorous target for indicator 8a. Alaska Part C's data show slight slippage but Alaska maintained a high level of compliance for indicator 8a as evidenced by the statewide data system and on-site monitoring results over time.

Indicator 8a Progress Table								
Measurable and Rigorous Target 2008-2009	Baseline FFY04 2004-2005	Actual Data FFY05 2005-2006	Actual Data FFY06 2006-2007	Actual Data FFY07 2007-2008	Actual Data FFY08 2008-2009	Actual Data FFY09 2009-2010	Actual Data FFY10 2010-2011	Actual Data FFY11 2011-2012
100%	95%	94%	88%	83%	99.20%	100%	99.78%	99.06%

Alaska Part C monitoring and training system ensures the transition plan, referenced in IDEA Section 637(a)(9)(c), is part of the IFSP that is developed after a child turns two and before the child reaches age three and includes appropriate transition steps and services required under IDEA Section 636(a)(3) and (d)(8).

Five Children Not Receiving a Transition Plan as part of the IFSP

Five children exiting Part C did not receive transition plans as part of their IFSPs. All children exited by age three and are no longer in the local EIS Programs. A file review of each child record indicated the following reasons for not receiving transition plans:

- One child record indicated parent declined transition plan due to parent's familiarity with the local school district personnel (child transitioning to preschool special education). Staff training provided to review IDEA and ensure transition plan development based on exit location. This family was subsequently contacted (after exit) by the local EIS agency to review family rights under IDEA, discuss the results of their transition to Part B and offered an additional resource list of family/community activities.
- One child exiting Part C without receiving a transition plan had unexpected medical needs which prevented the IFSP transition plan development.
- Two children did not have documented transition plans, however did receive transition conferences. Alaska Part C considers these as noncompliant due to lack of documentation. This was a staff training issue at one agency. This agency has provided training to ensure proper documentation.
- One child did not have a transition plan due to unexpected complex staff medical needs. This is a small rural agency that had only one provider/program coordinator and a contractor. The provider has since resigned. The agency is currently recruiting and has a contract staff acting as the primary provider/program coordinator.

Improvement Activities implemented in FFY 2011:

- Local EIS Program data review continued to be a grant requirement with state EI/ILP staff oversight of local programs via Alaska Part C monitoring system. Quarterly data were used to improve the effectiveness of transition plans.
- Alaska Part C reviewed its improvement activities and continues to demonstrate considerable improvement.
- Transition data trends were reviewed at verification and monitoring visits.
- Completed and distributed training materials in the Alaska Part C credential to ensure all new providers understand their roll in transition when a child is turning 3 years old. Provide additional technical assistance to agencies who do not achieve 100%.

- Discussion with local EIS staff regarding transition requirements and improvement planning has continued through the Part C credentialing process and received positive feedback from local EIS agency staff.
- Staff vacancies filled at local EIS programs. Note: staff recruitment and retention continues to challenge Alaska Part C statewide system. The EIC of the Governor's Council on Disabilities and Special Education continues to partner with Part C for improvement activities.

Correction of FFY 2010 Findings of Noncompliance: Level of compliance (actual target data) State reported for FFY 2010 for this indicator: 99.78%

a. Number of findings of noncompliance the State made during FFY 2010 (the period from July 1, 2010, through June 30, 2011)	**1**
b. Number of FFY 2010 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	**1**
c. Number of FFY 2009 findings not verified as corrected within one year [(1) minus (2)]	**0**

Verification of Correction of FFY 2010 noncompliance (either timely or subsequent):

As required by OSEP's June 27, 2012, FFY 2010 SPP/APR Response Table, Alaska verified that each EIS program with noncompliance reflected above: (1) is correctly implementing 34 CFR §§303.148(b)(4) and 303.344(h) and 20 U.S.C. 1436(a)(3) and (d)(8) based on updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) provided families with transition planning including steps and services if the child was still in the EIS Program, consistent with OSEP Memorandum 09-02, dated October 17, 2008 (OSEP Memo 09-02).

The affected family received family service coordination including information regarding transition services after child exit from program, however prior to age three.

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2010:

Consistent with the OSEP 09-02 Memo, Alaska Part C verified that the EIS program corrected specific noncompliance identified in FFY 2010 and is correctly implementing the regulatory requirements by:

- Performing a child record review for the child for whom transition steps and services were not present in the IFSP.
- A Corrective Action Plan (CAP) was issued for the EIS Program where noncompliance occurred including a root cause(s) for the problem, when that was applicable. The CAP also specified any required changes to policies, procedures or practices as applicable. The state collected data and documentation verifying that the EIS program completed the corrective action item included in their Corrective Action Plans issued by the state.
- State staff conducted a review of subsequent, updated data of child records for children newly exited or soon-to-exit children after identification of finding (10% random file selection or a minimum of 10 files) at the EIS program and verifying 100% compliance;
- Alaska Part C further verified that transition steps and services in the IFSP were provided for 100% of eligible children exited during at least one quarter following the initial noncompliance correction. Compliance was monitored through on-going desk audits of annual self assessments, quarterly progress reporting and database data verification for the local EIS program with an indicator 8a noncompliance finding. Alaska Part C verified with this review that the EIS program was 100% compliant, indicating they are correctly implementing this regulatory requirement.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (if applicable):

The Alaska Part C credentialing process ensures ongoing consistent clarification to all providers regarding their role in transition planning when a child is turning 3 years old.

State Staff develop corrective action plans with and provide technical assistance to agencies who do not achieve 100%.

New: Stakeholders to review FFY 2012 improvement activities and revise based on evaluation of current practices and trends.

Part C State Annual Performance Report (APR) for FFY 2011

Overview of the Annual Performance Report Development:

See Indicator 1 for general APR overview.

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8B: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

B. Notification to LEA, if child potentially eligible for Part B;

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of children exiting Part C and potentially eligible for Part B where the notification to the LEA occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.

Applied:

520 children exiting Part C and potentially eligible for Part B where the notification to the LEA occurred.

520 children exiting Part C who were potentially eligible for Part B

520/520*100 = 100%

FFY	Measurable and Rigorous Target
2011	**100%**

Actual Target Data for FFY 2011:100%

These data are collected through Alaska's Part C database and include all children who exited during the full reporting period (July 1, 2011– June 30, 2012) statewide.

This indicator is not a measure of the percent of all children who will shortly reach the age of eligibility for Part B but is a measurement of those children who will shortly reach the age of eligibility for Part B **and** who the State has determined are potentially eligible for Part B. Alaska Part C determined that all children qualified for Part C are potentially eligible for Part B. Alaska Part C automates notification to Alaska Part B when a Part C eligible child turns 27 months of age (or at enrollment if child enrolls after the age of 27 months) unless a parent "opts-out" of notification/referral to Part B. All local EIS programs review the Alaska Part C opt-out policy with parents at either enrollment or initiation of transition planning near the child's 24th month of age This indicator measures compliance by the child's 27th month of age.

Alaska Part C adopted a written policy that requires the lead agency to provide notice to the parents of an eligible child with an IFSP of the impending notification to the LEA under IDEA section 637(a)(9)(A)(ii)(I) and permits the parent within a specified time period to "opt-out" of the referral. OSEP reviewed and approved the State's opt-out policy submitted under Section II.A.5 of the State's FFY 2009 Application on June 25, 2009. Alaska's opt-out policy is on file with the Department as part of the State's Part C Application under IDEA section 637(a)(9)(A)(ii)(I).

Alaska provided notification for 520 children exiting Part C and potentially eligible for Part B in FFY 2011.

Alaska did not include in the calculation for this indicator (in either the numerator or denominator) 26children for whom the parents opted out of notification.

Children receiving a timely notification	520
Children whose families opted out of notification	26
Total	546

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2011: Alaska Part C met the measurable and rigorous target of 100% in FFY 2011.

Indicator 8b Progress Table								
Measurable and Rigorous Target 2008-2009	Baseline FFY04 2004-2005	Actual Data FFY05 2005-2006	Actual Data FFY06 2006-2007	Actual Data FFY07 2007-2008	Actual Data FFY08 2008-2009	Actual Data FFY09 2009-2010	Actual Data FFY10 2010-2011	Actual Data FFY11 2011-2012
100%	95%	86%	80%	100**%**	99.73%	97.67%	100%	100%

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:

Alaska Part C has achieved its target of 100%. Alaska Part C will continue to provide training to new staff and updates to local EIS agencies regarding the requirements of notification and Alaska's opt-out policy.

New: Alaska Part C will begin to monitor against revised procedures in its Memorandum of Agreement with Part B for notification to the SEA and the LEA.

Part C State Annual Performance Report (APR) for FFY 2010

Overview of the Annual Performance Report Development:

See Indicator 1 for general APR overview.

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8: Percent of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services. :

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of children exiting Part C and potentially eligible for Part B where the transition conference occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.Account for untimely transition planning under 8C, including the reasons for delays.

Applied:

434 toddlers with disabilities exiting Part C and potentially eligible for Part B where the transition conference occurred
438 toddlers with disabilities exiting Part C who were potentially eligible for Part B
434/438*100 = 99.09%

FFY	Measurable and Rigorous Target
2011	**100%**

Actual Target Data for FFY 2011: 99.09%

These data are collected through Alaska's Part C database and include all children who transitioned during the full reporting period (July 1, 2011 – June 30, 2012) statewide. This indicator measures compliance by the child's 33rdth month of age.

Alaska included 98 children for whom the state identified the cause for delay with a transition conference as "exceptional family circumstances" in both the numerator and the denominator for this indicator.

Number of children exiting Part C who received timely Transition conferences	336
Number of children exiting Part C who did not receive timely transition conferences due to extenuating family circumstances	98
Number of children exiting Part C who did not received transition conferences – non compliant	4
Number of children whose parent did not provide approval for the transition conference	0

Total	438

Four (4) transition conferences were reported by the local EIS agencies as non-compliant due to poor documentation. Four of the four children had documented transition plans; upon state file review however, lacked documentation of a transition meeting.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2011:

Alaska Part C indicator 8c, timely transition conference, slightly decreased from 99.79% in FFY 2010 to 99.09% in FFY 2011.

While Alaska Part C did not meet the target of 100%, an overall progress trend is evident from FFY 2004 to FFY 2011. This improvement is evidenced by the statewide data system and verification by on-site monitoring results.

Indicator 8c Progress Table								
Measurable and Rigorous Target 2008-2009	Baseline FFY04 2004-2005	Actual Data FFY05 2005-2006	Actual Data FFY06 2006-2007	Actual Data FFY07 2007-2008	Actual Data FFY08 2008-2009	Actual Data FFY09 2009-2010	Actual Data FFY10 2010-2011	Actual Data FFY11 2011-2012
100%	95%	85%	83%	96%	94.16%	99.78%	99.79%	99.09%

Improvement Activities completed:

- Local EIS Program data review was a FFY 2011 grant requirement.
- State EI/ILP staff provided oversight to local programs via Alaska Part C monitoring system. Local EIS data trends were used to improve the effectiveness of transition.
- Local EIS programs were required to submit improvement plans based on yearly or monitor data. Corrective action plans included timely transition meetings for local EIS programs below 100%.
- Alaska Part C reviewed its improvement activities with the local providers and EIC. Annual data continue to demonstrate improvement statewide.
- Alaska Part C reviewed this finding at the child level and recommended staff coverage for times of staff illness whenever possible.
- Part C credentialing module developed specific to transition initiated.

Correction of FFY 2010 Findings of Noncompliance:
Level of compliance (actual target data) State reported for FFY 2010 for this indicator: 99.79%
Alaska Part C verified timely correction for all FFY 2010 (Indicator 8c) findings.

a. Number of findings of noncompliance the State made during FFY 2010 (the	**1**

period from July 1, 2009, through June 30, 2010)	
b. Number of FFY 2010 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	**1**
c. Number of FFY 2010findings not verified as corrected within one year [(1) minus (2)]	**0**

Verification of Correction of FFY 2010 noncompliance (either timely or subsequent):

As required by OSEP's June 27, 2012, FFY 2010 SPP/APR Response Table, Alaska verified that each EIS program with noncompliance reflected above: (1) is correctly implementing timely transition conference requirements (i.e., achieved 100% compliance) with34 CFR §303.148(b)(2)(i)based on updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has completed the transition conference, although late, for any child potentially eligible for Part B whose transition conference was not timely, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memorandum 09-02, dated October 17, 2008 (OSEP Memo 09-02).

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2010:

Consistent with the OSEP 09-02 Memo, Alaska Part C verified that the EIS program corrected specific noncompliance identified in FFY 2010and is correctly implementing the regulatory requirements by:

- Performing a child record review for the child for whom a timely transition conference did not occur. Alaska Part C monitoring staff verified, through this record review, reasons why the EIS program did not conduct timely transition conferences for the child where noncompliance was identified in FFY 2010.
- A Corrective Action Plan (CAP) was issued for the EIS Program where noncompliance occurred including a root cause(s) for the problem, when that was applicable. The CAP also specified any required changes to policies, procedures or practices as applicable. The state collected data and documentation verifying that the EIS program completed the corrective action item included in their Corrective Action Plans issued by the state.
- State staff conducted a review of subsequent, updated data of child records for children newly exited children after identification of finding (10% random file selection or a minimum of 10 files) at the EIS program and verifying 100% compliance;
- Alaska Part C further verified that timely transition conferences were provided for 100% of eligible children exited during at least one quarter following the initial noncompliance correction. Compliance was monitored through on-going desk audits of annual self assessments, quarterly progress reporting and database data verification for the local EIS program with an indicator 8c noncompliance finding. Alaska Part C verified with this review that the EIS program was 100% compliant with 34 CFR §303.148(b)(2)(i), indicating they are correctly implementing this regulatory requirement.

Alaska State staff investigated the problem as soon as it was identified. Correction was completed within 12 months of finding. Alaska Part C monitoring staff verified, through this record review, reasons why the EIS program did not conduct timely transition conferences for the child where noncompliance was identified in FFY 2010. The transition conference was a problem for this agency due to staff vacancy and agency travel policy.

This EIS program is a small agency, which had 1.5 FTE EIS positions, both vacant at time of finding. The agency filled one vacancy following this finding in FFY 2010. Subsequent file reviews and Part C data demonstrated 100% compliance with this indicator. Staff implemented a weekly review of a database report to alert them of upcoming transition conference due dates, completed quarterly reviews of child records with state technical assistant. This agency demonstrated 100% correction in FFY2011. (At the time of this FFY 2011 APR writing, this agency is fully staffed).

The State has determined that this EIS agency is correctly implementing 34 CFR§303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)(A)(ii)(ll)).

Part C reviewed its improvement strategies with local EIS providers and the EIC.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (if applicable):

New: Alaska Part C will present this indicator trend to a stakeholder group for review, discussion and improvement planning in FFY2012. Improvement plan(s) and data will be reported in the FFY2012 APR.

Part C State Annual Performance Report (APR) for FFY 2011

Overview of the Annual Performance Report Development:

See Indicator 1 for general APR overview.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent of noncompliance corrected within one year of identification:

a. # of findings of noncompliance.
b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

States are required to use the "Indicator 9 Worksheet" to report data for this indicator (see Attachment A).

Applied:

98 findings of noncompliance.
98 corrections completed as soon as possible but in no case later than one year from identification.

98/98*100 = 100%

FFY	Measurable and Rigorous Target
2011	100%

Actual Target Data for FFY 2011:

Alaska met its target for indicator 9 in FFY 2011.

The data for this indicator reflect findings made through different components of Alaska Part C's general supervision system including its statewide database, onsite monitoring, and dispute resolution. All findings reported as corrected were verified as corrected in accordance with OSEP Memo 09-02.

INDICATOR C-9 WORKSHEET With ILP Agencies Identified FFY 2010

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2010 (7/1/10 through 6/30/11)	(a) # of Findings of noncompliance identified in FFY 2010 (7/1/10 through 6/30/11)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	4	4	4
	Dispute Resolution: Complaints, Hearings	0	0	0
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	0
3. Percent of infants and toddlers with IFSPs who demonstrate improved outcomes	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or	0	0	0

	Other			
	Dispute Resolution: Complaints, Hearings	0	0	0
4. Percent of families participating in Part C who report that early intervention services have helped the family	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	0
5. Percent of infants and toddlers birth to 1 with IFSPs	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
6. Percent of infants and toddlers birth to 3 with IFSPs	Dispute Resolution: Complaints, Hearings	0	0	0
7. Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	6	10	10
	Dispute Resolution: Complaints, Hearings	0	0	0

8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: A. IFSPs with transition steps and services;	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	1	1
	Dispute Resolution: Complaints, Hearings	0	0	0
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: B. Notification to LEA, if child potentially eligible for Part B; and	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings	0	0	0
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: C. Transition conference, if child potentially eligible for Part B.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	1	1
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Prior Written Notice, IDEA (303.403(b))	Monitoring Activities: Self-Assessment/ Local APR,	8	18	18

	Data Review, Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Parental Consent, IDEA (303.404(a))	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	2	2	2
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Multidisciplinary Service Providers IDEA (303.17)	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	4	17	17
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Eval Includes Other Sources IDEA (303.17, 303.322(c)(3)(i))	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	2	2
	Dispute Resolution: Complaints, Hearings	0	0	0

OTHER AREAS OF NONCOMPLIANCE: Comprehensive Eval IDEA (303.322(c)(3))	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	2	4	4
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Family Directed Assessment IDEA (303.322(d))	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	1	1
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Documentation of Declined Family Assessment (303.421(b))	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	2	2	2
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Measurable Outcomes IDEA (303.12 (a)(1),303.344 (c)),((303.12(a)(2))	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	6	14	14
	Dispute Resolution:	0	0	0

	Complaints, Hearings			
OTHER AREAS OF NONCOMPLIANCE: All IFSP Service Provided as Planned (303.13(a)(9))	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	1	1
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: IFSP Services Enhance Family Capacity (303.44(b))	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	3	3
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Timely IFSP Renewal IDEA (303.342(b)(1) and (c))	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	1	1
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Coordinated IFSP Services and Outcomes (303.44(d))	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	2	2	2

	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: All IFSP Services Provided (303.12)	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	1	1
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Transition Plan includes Steps (303.344(h)(2)(ii))	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	2	6	6
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Transition Meeting Includes Parents (303.344(h)(2)(i)	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	2	5	5
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Documentation of Why Parent not Included in Transition	Monitoring Activities: Self-Assessment/ Local APR, Data Review,	1	2	2

Meeting (303.344(h)(2)(i)	Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings	0	0	0
OTHER AREAS OF NONCOMPLIANCE: Documentation of Untimely Transition Meeting (303.148(b)(2)(i))	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	1	1	1
	Dispute Resolution: Complaints, Hearings	0	0	0
		50	98	98
Sum the numbers down Column a and Column b			98	98
Percent of noncompliance corrected within one year of identification = (column (b) sum divided by column (a) sum) times 100.			**(b) / (a) X 100 =**	**100%**

Timely Correction of FFY 2010 Findings of Noncompliance (corrected within one year from identification of the noncompliance):

a. Number of findings of noncompliance the State made during FFY 2010 (the period from July 1, 2010, through June 30, 2011) (Sum of Column a on the Indicator C 9 Worksheet)	**98**
b. Number of findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS programs of the finding) (Sum of Column b on the Indicator C 9 Worksheet)	**98**
c. Number of findings not verified as corrected within one year [(1) minus (2)]	**0**

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2011:

Alaska met its target of 100% for indicator 9 in FFY 2011.

Indicator 9 increased from 98.86% in FFY 2010 to 100% in FFY 2011. The Alaska Part C data system allows local EIS programs to complete quarterly data verification and self assessments online. Automated report cards and quarterly narrative reports were examined in third quarter FFY 2011 to inform state staff of needed technical assistance and compliance correction. Agencies with longstanding noncompliance receive on-site monitoring visits, root cause analysis and corrective action plans (CAP) (or revised corrective action plans if agency had a CAP in place). Alaska's timely monitoring system and assistance to grantees contributed to Alaska's Part C indicator 9 corrections.

Alaska Part C monitors local EIS performance through its data system for all enrolled children during the reporting period (July 1, 2010 – June 30, 2011) statewide. Each EIS program completes an annual self-assessments to examine other areas of compliance, related requirements and best practice. Local EIS programs with less than required targets receive additional state technical assistance, on-site verification visits, and corrective action plans as needed. Local EIS agencies for which correction is not within 12 months of finding receive on-site monitoring visits with root cause analysis, corrective action plan revisions and follow-up verification visits. Alaska has no remaining uncorrected findings in FFY 2011.

Alaska Part C state staff and local EI agency staff conducted subsequent random file reviews for each EI agency with FFY 2010 findings correction for demonstration of continued compliance. All files reviewed demonstrated full correction and maintenance of the following:

- Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner IDEA (303.344(f));
- Percent of infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline IDEA (303.342(e)(2)(ii));
- Percent of infants and toddlers receiving transition steps and services prior to age 3 IDEA (303.344(h)(2));
- Percent of infants and toddlers receiving timely transition conference (303.148(b)(2)(i))
- Prior Written Notice IDEA (303.403(b));
- Multidisciplinary Service Providers IDEA (303.17);
- Measurable Outcomes IDEA (303.12 (a)(1),303.344 (c)),((303.12(a)(2));
- Timely IFSP Renewal IDEA (303.342(b)(1) and (c));
- IFSP services appropriate to achieve the child and family outcomes identified (303.344 Note 3; 303.12(a)(1)) IDEA (303.12); and
- All IFSP Services Provided (303.12).

Correction of Remaining FFY 2009 Findings of Noncompliance

1. Number of remaining FFY 2009 findings noted in OSEP's June 2012 FFY 2010 APR response table for this indicator	1
2. Number of remaining FFY 2009 findings the State has verified as corrected	1
3. Number of remaining FFY 2009 findings the State has NOT verified as corrected [(1) minus (2)]	**0**

One agency subsequently corrected FFY 2009 longstanding noncompliance for Family Directed Assessments IDEA (303.322(d)) after the one-year requirement. This agency received an additional, targeted on-site verification visit in December 2011,to ensure that it is (1) is correctly implementing family directed assessment requirement (i.e., achieved 100% compliance) with34 CFR §303.321(c)(2)based on updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has documented declined family assessments. This on-site monitoring visit included file reviews, technical assistance, staff interviews and observations of

child/family visits. State staff provided specific training on IFSP development and family directed assessment tools. This agency has a written agreement (revised corrective action plan) with the State Part C administration to implement family directed assessments consistently and document parent decline as appropriate. This correction was made by January 2012 and was verified as corrected in accordance with OSEP Memorandum 09-02. State staff will continue to work with this local agency for culturally appropriate family assessment tools.

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2010:

- Performing child record reviews for specific finding verification and evidence of correction.
- Corrective Action Plans (CAP) were issued for EIS programs where noncompliance occurred including a root cause for the problem(s), when that was applicable. CAPs also specified required changes to policies, procedures or practices as necessary. The state collected data and documentation verifying EIS programs completed corrective action items included in the CAPs issued by the state.
- State staff conducted a review of subsequent, updated data of child records for children newly exited after identification of findings (10% random file selection or minimum of 10 files) at EIS programs with findings. This ensured individual correction for all children including that the action was completed, although late, for timeline-specific requirements.
- Alaska Part C further verified 100% compliance was achieved. Compliance was monitored through on-going desk audits of annual self assessments, quarterly progress reporting and database data verification for local EIS programs with indicators below 100% compliance.
- Implementation of the Part C credentialing and associated training modules. As part of the credentialing process, Part C state staff initiated a monthly IFSP peer review for all local EIS providers. The goal of this IFSP review is to improved quality and statewide understanding of IDEA requirements.

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:

Alaska continues to show an improvement trend in this area. Therefore there are no anticipated FFY 2012 changes to this indicator measurement, improvement activities, timelines, or resources.

Part C State Annual Performance Report (APR) for FFY 2011

In accordance with the FFY 2011 OSEP Measurement Table, Indicators 10 and 11 have been deleted from the SPP/APR. States report data on the timeliness of State complaint and due process hearing decisions as part of the data they submit under IDEA section 618.

Part C State Annual Performance Report (APR) for FFY 2011

Overview of the Annual Performance Report Development:

See Indicator 1 for general APR overview.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 12: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = (3.1(a) divided by 3.1) times 100.

FFY	Measurable and Rigorous Target
2011	NA

Actual Target Data for FFY 2011: NA

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for 2011:

Not applicable. Alaska Part C does not use Part B due process procedures.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2012:
There are no FFY 2012 changes to this indicator measurement, improvement activities, timelines, or resources.

Part C State Annual Performance Report (APR) for FFY 2011

Overview of the Annual Performance Report Development:

See Indicator 1 for general APR overview.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 13: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.

FFY	Measurable and Rigorous Target
2011	100%

Actual Target Data for FFY 2011:

No actual target data available, no mediation requests received.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2011:

As required by the OSEP measurement table, Alaska Part C will develop baseline, targets and improvement activities, and report them in the corresponding APR in the reporting period when the number of mediations reaches ten or greater.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:

There are no FFY 2012 changes to this indicator measurement, improvement activities, timelines, or resources.

Part C State Annual Performance Report (APR) for FFY 2011

Overview of the Annual Performance Report Development:

See Indicator 1 for general APR overview.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 14: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: State reported data, including 618 data, State performance plan, and annual performance reports, are:

a. Submitted on or before due dates (February 1 for child count and settings and November 1 for exiting and dispute resolution); and
b. Accurate, including covering the correct year and following the correct measurement.

States are required to use the "Indicator 14 Data Rubric" for reporting data for this indicator (see Attachment B).

FFY	Measurable and Rigorous Target
FFY 2011	100%

Actual Target Data for FFY 2011: 100%

SPP/APR Data - Indicator 14			
APR Indicator	Valid and Reliable	Correct Calculation	Total
1	1	1	2
2	1	1	2
3	1	1	2
4	1	1	2
5	1	1	2
6	1	1	2
7	1	1	2
8a	1	1	2
8b	1	1	2
8c	1	1	2
9	1	1	2
10	1	1	2
11	1	1	2
12	1	1	2

13	1	1	2
		Subtotal	30
APR Score Calculation	**Timely Submission Points** - If the FFY 2010APR was submitted on-time, place the number 5 in the cell on the right.		5
	Grand Total - (Sum of subtotal and Timely Submission Points) =		35

618 Data - Indicator 14					
Table	**Timely**	**Complete Data**	**Passed Edit Check**	**Responded to Data Note Requests**	**Total**
Table 1 - Child Count Due Date: 2/1/10	1	1	1	N/A	3
Table 2 - Program Settings Due Date: 2/1/10	1	1	1	NA	3
Table 3 - Exiting Due Date: 11/1/10	1	1	1	NA	3
Table 4 - Dispute Resolution Due Date: 11/1/10	1	1	1	N/A	3
				Subtotal	12
618 Score Calculation			**Grand Total** (Subtotal X 2.5) =		30

Indicator #14 Calculation	
A. APR Grand Total	35.00
B. 618 Grand Total	30
C. APR Grand Total (A) + 618 Grand Total (B) =	65
Total NA in APR	0
Total NA in 618	4
Base	**65**
D. Subtotal (C divided by Base*) =	1.0
E. Indicator Score (Subtotal D x 100) =	100.0

*Note any cell marked as N/A will decrease the denominator by 1 for APR and 2.5 for 618

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2011:

Alaska Part C achieved the measurable goal of 100% in FFY 2011.

Alaska Part C Data Manager established a review process to double check 618 data fields prior to submissions.

Alaska Part C strives to ensure valid, accurate and timely data at the local EIS and the State level. The following details Alaska Part C data quality protocol:

1. Ensuring Valid, Accurate and Timely Data:

Data gathered through our enhanced accountability system and monitoring was used to inform and drive decisions related to resource allocation, need for technical assistance, and assigned monitoring. The Alaska web database incorporates data editing procedures to verify accurate and complete data, for example, date of birth values yield child age less than 3 years, if not, the end-user is alerted to an error in the date. Reportable data is validated with dropdown lists and required fields.

Each local EIS program reviews their data quarterly for completeness and accuracy using the automated data compliance, reminders and data confirmation reports. Data that is missing or inaccurate is flagged on these reports and allows end-users to drill down into each child record for examination and/or correction prior to verification. State Part C staff review each EIS data cleaning reports prior to quarter end and provide technical assistance to any local program with data issues.

The Alaska web database tracks the receipt of timely local EIS data verification. The Part C Data Manager tracks email requests for verification extensions (late data verification). Each State Program Specialist reviews requests for extensions and either approves or disapproves these requests. If more than one agency is having difficulties with the database or the required verification report, the Part C Data Manager notifies the database programmer(s) and requests support for database maintenance. An email regarding database improvements/fixes is then sent out to local EIS programs and posted to the web database forum.

Upon receipt of verification, an automated email is sent to the State Program Specialists and Part C Data Manager that a local EIS agency has verified their quarterly data. Program Specialists reviews annual trend data for each compliance indicator, noting increases or decreases in trend data and non-compliance. Each agency with non-compliance is required to submit a plan of correction per indicator at the time of verification. Verification and corrective action plans are then reviewed for approval by the Program Specialists within 30 days of receipt. Verification, plans of correction and approvals are tracked through the database and reviewed for APR preparation and local determinations annually. Alaska uses year-to-year comparisons and trend lines as a reliability check for annually reported data (both to OSEP and for public reporting).

2. Validity and Validation

Providers and local agency staff have the first level of responsibility for submitting accurate data. Alaska policies and procedures have been implemented that assist, incentivize, reward, review, correct and ensure timely and accurate data submittals. Local agency staff is required to run reports that assist in summarizing compliance measures and finding discrepancies. Quarterly statewide teleconferences are held with local agencies to review statewide aggregate data. Comparing compliance results provides incentive to improve results.

All data reports follow OSEP measurement guidance. State Part C staff, programmers and local EIS agencies scrutinize business rules for each compliance indicator. Rigorous testing procedures are followed for new and revised data reports.

State monitoring teams review local EIS policies and procedures to ensure that data collection and entry is consistent with State of Alaska Part C requirements and guidelines. On-site file reviews compare database information with child file. Annual self-assessment procedures require local EIS file reviews of child records to ensure accurate data entry.

Database Training is provided to all new direct service and data personnel across the state. Training focuses on accurate data entry, definitions, reporting and data management. Follow-up and ongoing training information is provided through monthly database teleconference (open to all users) and a database forum.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012:

There are no FFY 2012 changes to this indicator measurement, improvement activities, timelines, or resources.